UBS leads the way in wealth management

Corporate profile for 3Q18

Building on its history of over 150 years, UBS is committed to bringing its global resources to bear on the portfolios of high net worth individuals to help them pursue their wealth management goals. Today, as the world’s largest wealth manager, we are strongly positioned to help our clients address the realities of the global economy and their sophisticated needs. Customized solutions are delivered by dedicated Financial Advisors who are aligned to help give clients confidence in reaching their goals no matter what the environment.

The UBS approach to managing wealth

• Exclusive research. The Global Wealth Management Chief Investment Office (GWM CIO) team combines insights from global research professionals and local expertise to give clients access to our best investment thinking. Informed by a rigorous investment process, GWM CIO’s insights help clients make better investment decisions in a rapidly changing global marketplace.

• Global solutions. Our clients’ complex needs drive the solutions we provide. We offer a wide variety of solutions – whether from inside or outside of UBS – across a full range of products and platforms.

• Top-ranked Financial Advisors. Attuned to the specific needs of those with significant wealth, Global Wealth Management Financial Advisors manage an average of $189 million in invested assets. To help clients pursue their ultimate goals, our Advisors provide comprehensive strategies and solutions based on an understanding of clients’ objectives and risk tolerance, and the financial plans they create together.

Strong and well-capitalized

UBS remains financially strong, with solid long-term credit ratings. UBS has a Basel III common equity Tier 1 (CET1) capital ratio (fully applied) of 13.8% as of 30.09.2018. The Basel III framework is a global regulatory standard on bank capital adequacy, stress testing and market liquidity risk; implemented by each country, it is designed to improve the banking sector’s ability to absorb shocks arising from financial and economic stress.

UBS Group AG
UBS AG
Credit Suisse Group AG
Credit Suisse AG
HSBC Holdings PLC
HSBC Bank PLC
Bank of America Corp.
Bank of America N.A.
Citigroup Inc.
Citibank N.A.
The Goldman Sachs Group Inc.
The Goldman Sachs Bank USA
JPMorgan Chase & Co.
JPMorgan Chase Bank N.A.
Morgan Stanley
Morgan Stanley Bank N.A.

Source: Companies’ reporting

1 Scorpio Partnership’s “Global Private Banking Benchmark 2018” rank of global wealth managers by assets under management.

2 Click to view

3 As of 30.09.2018.
As a firm providing wealth management services to clients, we offer both investment advisory and brokerage services. These services are separate and distinct, differ in material ways and are governed by different laws and separate contracts. For more information on the distinctions between our brokerage and investment advisory services, please speak with your Financial Advisor or visit our website at ubs.com/investors.

These reports are available at ubs.com/investor-relations for an overview of adjusted numbers in CHF.

1 GWM Americas 2017 and prior quarterly figures represent the former Wealth Management Americas (WMA) and Wealth Management Latin America businesses and therefore differ from the WMA-only figures published on WMA corporate profiles. For more information, see ubs.com/en/wealth/about_us for historical documents.

2 USD invested assets for Global Wealth Management and UBS Group AG, and USD market capitalization for UBS Group AG are based on quarter-end spot rates for CHF per 1 dollar: 3Q18 = 0.98135; 2Q18 = 0.99124; 3Q17 = 0.96842 (USD $5 million – USD $30 million).

3 Certain account types were corrected during the fourth quarter of 2017. Prior periods were corrected accordingly. The effect on net new money in all periods was immaterial.

4 Ratio is based on reported CHF figures.

5 Refer to the “UBS shares” section of the firm’s 3Q18 and 2Q18 reports for more information.

Accolades are independently determined and awarded by their respective publications. For more information on a particular rating, visit its corresponding website. Neither UBS Financial Services Inc. nor its employees pay a fee in exchange for these ratings. Accolades can be based on a variety of criteria including length of service, compliance records, client satisfaction, assets under management, revenue, type of clientele and more.

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