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# UBS Europe SE

AML & KYC Due Diligence Pack

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## Introduction

We understand that certain company information is required in order for our clients to perform their KYC and AML due diligence on the entities they do business with. As UBS is a multi-listed and multi-regulated entity, we believe that the information and documents provided herein will provide you with all necessary information you require in order to fulfil your KYC and AML obligations on UBS Europe SE.

## General AML and KYC policy documentation for UBS Europe ESE

- [Wolfsberg Group AML Questionnaire](#)
- [General AML and KYC Policies Information of UBS Group](#)
- [Handelsregisterauszug - Extract from Commercial Register](#)

## Company Information

<b>Full Legal Name</b>	UBS Europe SE
VAT Number	DE 114 104 636
Licensing Information	Bafin License ID No. 100377
German Corporate Register (Handelsregister) No.	HRB 107046
<b>LEI (Legal Entity Identifier)</b>	5299007QVIQ71O64NX37
<b>Registered Office Address</b>	Bockenheimer Landstraße 2-4 OpenTurm 60306 Frankfurt am Main
<b>Ultimate Parent Company Name</b>	UBS Group AG
<b>Ultimate Parent Registration Number</b>	Corporate Identification No: CHE-395.345.924
<b>Website Address</b>	<a href="http://www.ubs.com/de/de/ubs-germany.html">www.ubs.com/de/de/ubs-germany.html</a>

## Additional information

[Regulatory information](#) and [Credit ratings](#) for all UBS entities are available on our website.

Please contact your UBS sales representative in the event that you have further questions regarding UBS ESE AML and KYC information.

Please note that the information provided herein is subject to change.