

ISA Transfer Form

Please complete this form in ink using BLOCK CAPITALS. Simply return the completed Application Form to your financial adviser or send it to: **UBS Asset Management Funds Ltd, PO Box 3733, Royal Wootton Bassett, Swindon SN4 4BG.** If you have any questions about completing this form, call our investor helpline on **0800 358 3012**. If you are unsure about this investment, please consult your financial adviser.

Personal details

Title _____

Surname _____

Forename(s) in full _____

Permanent residential address _____

Postcode _____

Email _____

Telephone (daytime) _____

Telephone (evening) _____

Existing party number (if applicable)

Important: Details required by HM Revenue & Customs

Your application cannot be accepted without the following information:

Date of birth

National Insurance number

You should be able to find your NI number on a payslip, P45 or P60, a letter from HM Revenue & Customs, a letter from the DWP or pension order book.

If you do not have a National Insurance number, please tick this box

Current ISA details

Name of 1st ISA Manager _____Name of 2nd ISA Manager (if applicable) _____Address _____
_____Address _____

Postcode _____

Postcode _____

Investment details

- Please list the fund(s) you wish to invest in and the corresponding details below.
- Please note that the A and B share classes are restricted to existing investors only.
- New investors will be invested in the C share class by default.

Specify name of fund	Lump sum amount	Monthly amount	Income*	Accumulation
	£	£	<input type="checkbox"/>	<input type="checkbox"/>
	£	£	<input type="checkbox"/>	<input type="checkbox"/>
	£	£	<input type="checkbox"/>	<input type="checkbox"/>
Total	£			

* Please tick box if income is required from the investment. If not available in the specified fund you will be invested in an accumulation share class. No income share class on: UBS Global Emerging Markets Equity Fund, UBS UK Opportunities Fund, UBS Global Optimal Fund, UBS US Equity Fund, UBS US Growth Fund, UBS Global Allocation Fund, UBS Targeted Return Fund.

Receiving income – Only on those funds where income shares are available

Complete this section if you want any income to be paid directly to your Bank or Building Society.

Name of Bank or Building Society Account where you want your income paid

Address of Bank or Building Society

Branch Sort Code
Name(s) of account holders
Building Society roll number

Postcode
Bank/Building Society Number

Declaration

I declare that:

- This Form has been completed to the best of my knowledge and belief; I understand that the investments in the ISA to be transferred will be liquidated and the proceeds transferred to UBS Asset Management Funds Ltd in accordance with the ISA Regulations; I am 18 years of age or over.
- All subscriptions made, and to be made, belong to me; I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA; I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA; To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; To make on my behalf any claims to relief from tax in respect of ISA investments.
- On my request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.

I agree that the ISA, upon transfer, will be governed by the Terms and Conditions of the UBS Asset Management ISA. I agree to be bound by them in all respects. I agree that the application is subject to acceptance by the current ISA manager. I agree to inform you of any changes in my circumstances. I declare that I have read and understood the current version of the Key Investor Information Document and the Supplementary Information Document, which includes the ISA Terms and Conditions, and that this Form has been completed to the best of my knowledge and belief. I have read and consent to the terms of the Direct Debit Guarantee (if applicable) and UBS Asset Management Funds Ltd's use of the Delivery versus Payment Exemption as explained in the Supplementary Information Document and the Prospectus(es) for the relevant Fund(s). All documents are available at www.ubs.com/retailfunds.

Signature _____ Date _____

Data Protection Act

By completing this form you consent to UBS Asset Management (UK) Ltd processing the information you provide. The information may be used for administration purposes or to inform you of other products of UBS Asset Management.

If you do not wish to receive information about other products and services of UBS Asset Management, please tick the box.

Financial adviser authorisation

This section should be completed if you are investing on the advice of a financial adviser. This will help us keep your adviser up to date with the progress of your investment.

I hereby appoint the following financial adviser and authorise you to give him/her information relating to my account.

Agent's stamp (to be completed by the Agent if appropriate)

Please tick if advice not given Please tick if cancellation rights do not apply

Agency ref: _____ FCA reference number _____
UK Regulated _____ EU Regulated by _____

I declare that I have read and understood the current version of the Terms of business with Financial Intermediaries Document. All documents are available at www.ubs.com/retailfunds.

Signature _____ Signature _____

Stocks and shares account

Details of current ISAs to be transferred

Name of 1st ISA Manager _____

Account/Reference no(s) of ISAs to be transferred _____

Personal details

Form 1

Title _____

Surname _____

Forename(s) _____

ISA subscriptions to be transferred

Current year ISA(s) (Current year ISAs must be transferred in whole.)

Please transfer my current tax year ISA(s) **Yes** **No**

Please indicate types of ISA **Cash account** **Stocks and shares account**

Previous year ISA(s)

Part transfers are accepted provided your existing plan manager can accommodate this request.

Please check with your existing plan manager before completing this form. Please indicate below how much you wish to transfer.

Stocks and shares account

Full transfer **Partial transfer of £** _____ *please complete if known*

For partial transfers, please indicate which holdings you wish to transfer

Fund _____ **Shares/value (£)** _____

Instruction

I hereby instruct my current ISA Manager to transfer the above ISA(s) in cash to UBS Asset Management Funds Ltd, PO Box 3733, Royal Wootton Bassett, Swindon SN4 4BG. I also authorise my current ISA Manager to provide UBS Asset Management Fund Ltd with any information concerning the above ISA(s) and to accept from it any instruction relating to the ISA(s).

This is subject to UBS Asset Management Funds Ltd's acceptance of my transfer application.

Signature _____ **Date** _____



Cash account

Details of current ISAs to be transferred

Name of 1st ISA Manager _____

Account/Reference no(s) of ISAs to be transferred _____

Personal details

Form 2

Title _____

Surname _____

Forename(s) _____

ISA subscriptions to be transferred

Current year ISA(s) (Current year ISAs must be transferred in whole.)

Please transfer my current tax year ISA(s) **Yes** **No**

Please indicate types of ISA **Cash account** **Stocks and shares account**

Previous year ISA(s)

Part transfers are accepted provided your existing plan manager can accommodate this request.

Please check with your existing plan manager before completing this form. Please indicate below how much you wish to transfer.

Cash account

Full transfer **Partial transfer of £** _____ *please complete if known*

For partial transfers, please indicate which holdings you wish to transfer

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