



# OEIC/ISA Switch Form

Please complete this form in ink using BLOCK CAPITALS. Simply return the completed Form to your financial adviser or send it to: **UBS Asset Management Funds Ltd, PO Box 3733, Royal Wootton Bassett, Swindon SN4 4BG**. If you have any questions about completing this form, call our investor helpline on **0800 358 3012**. If you are unsure about this investment, please consult your financial adviser.

## Account details

Party number

## Personal details

Title \_\_\_\_\_ Surname \_\_\_\_\_

Forename(s) in full \_\_\_\_\_

Permanent residential address \_\_\_\_\_

Postcode \_\_\_\_\_ Email \_\_\_\_\_

Telephone (daytime) \_\_\_\_\_ Telephone (evening) \_\_\_\_\_

## Switch instructions

Please complete this section if you wish to switch your holding from one fund to another fund(s) available to you, or from one share class to another share class within the same fund, subject to the restrictions defined in their Prospectuses.

Please refer to the Prospectuses for a full list of the Funds available.

Please ensure that you have read the Supplementary Information Document.

Please complete the cash amount you wish to switch from each share class in each fund, or the number of shares you wish to switch in the table below.

If you wish to switch your total holding, please tick the 'total holding' box.

## Existing holding(s)

Fund name	Cash Amount or Number of shares	or Total holding	Income*	Accumulation
_____	£ _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	£ _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	£ _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please complete the percentage of the total proceeds you would like to invest in the fund(s) you are switching to.

Please note that the A and B share classes are restricted to existing investors only.

New investors will be invested in the C share class by default.

## New holding(s)

Fund name	% of proceeds to be reinvested	Income*	Accumulation
_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	_____	<input type="checkbox"/>	<input type="checkbox"/>

This column should total 100%

\* Please tick box if income is required from the investment. If not available in the specified fund you will be invested in an accumulation share class. No income share class on: UBS Global Emerging Markets Equity Fund, UBS UK Opportunities Fund, UBS Global Optimal Fund, UBS US Equity Fund, UBS US Growth Fund, UBS Global Allocation Fund, UBS Targeted Return Fund.

**Receiving income – Only on those funds where income shares are available**

Complete this section if you want any income to be paid directly to your Bank or Building Society.

Name of Bank or Building Society Account where you want your income paid \_\_\_\_\_

Address of Bank or Building Society \_\_\_\_\_

Branch Sort Code \_\_\_\_\_ Postcode \_\_\_\_\_  
Bank/Building Society Number \_\_\_\_\_

Name(s) of account holders \_\_\_\_\_

Building Society roll number \_\_\_\_\_

**Redirection of Monthly Direct Debit**

Please complete this section if you wish to cancel your current direct debit or would like to redirect your future monthly savings to a different fund. Please note this will affect all your investments.

Please cancel my direct debit

or

Please redirect as follows:

Fund name	Cash Amount	Number of shares	Income*	Accumulation
_____	£ _____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	£ _____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	£ _____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	£ _____	_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	£ _____	_____	<input type="checkbox"/>	<input type="checkbox"/>

\* Please tick box if income is required from the investment. If not available in the specified fund you will be invested in an accumulation share class. No income share class on: UBS Global Emerging Markets Equity Fund, UBS UK Opportunities Fund, UBS Global Optimal Fund, UBS US Equity Fund, UBS US Growth Fund, UBS Global Allocation Fund, UBS Targeted Return Fund.

**Financial adviser authorisation**

*This section should be completed if you are investing on the advice of a financial adviser.*

*This will help us keep your adviser up to date with the progress of your investment.*

I hereby appoint the following financial adviser and authorise you to give him/her information relating to my account.

**Agent's stamp**

(to be completed by the Agent if appropriate)

Please tick for advice not given

Please tick if cancellation rights do not apply

Agency ref: \_\_\_\_\_

FCA reference number \_\_\_\_\_

UK Regulated \_\_\_\_\_

EU Regulated By \_\_\_\_\_

I declare that I have read and understood the current version of the Terms of business with Financial Intermediaries Document. All documents are available at [www.ubs.com/retailfunds](http://www.ubs.com/retailfunds).

Signature \_\_\_\_\_

Signature \_\_\_\_\_

**Signature(s) of all Investment Holders**

I / We declare that I / We have read and understood the current version of the Key Investor Information Document and the Supplementary Information Document, which includes the ISA Terms and Conditions, and that this Application Form has been completed to the best of my / our knowledge and belief. I / We have read and consent to the terms of UBS Asset Management Funds Ltd's use of the Delivery versus Payment Exemption as explained in the Supplementary Information Document and the Prospectus(es) for the relevant Fund(s). All documents are available at [www.ubs.com/retailfunds](http://www.ubs.com/retailfunds).

**For OEIC switches**

I / We declare that I / we have read and, if appropriate, completed the Individual Self-Certification form and if you are a pre-existing account holder under the definition of FATCA and AEI (account open before 31.12.2015) and we do not receive a valid self-certification form from you or if the information in this form is incomplete or inaccurate, we may be required to determine the tax residence and FATCA and AEI classification and report based on the information we hold on file. Form available from [www.ubs.com/retailfunds](http://www.ubs.com/retailfunds).

I understand that switching shares outside of an ISA could give rise to a liability to capital gains tax.

**For ISA switches**

I understand and accept that this application is made on the basis of, and subject to, the current ISA Terms and Conditions, where appropriate, found in the latest Supplementary Information Document.

**Please note if you are an ISA holder you only need to sign the first account holder box. If you are a non-ISA holder and the holding is in joint names, then all holders will need to sign the form.**

**First Account Holder**

Signature \_\_\_\_\_ Date \_\_\_\_\_

**Joint Holder – not applicable for ISA**

Full name \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

**Joint Holder – not applicable for ISA**

Full name \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

**Joint Holder – not applicable for ISA**

Full name \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Issued in April 2018 by UBS Asset Management Funds Ltd. Authorised and regulated by the Financial Conduct Authority. Telephone calls may be recorded.

