

ISA Application Form

Please complete this form in ink using BLOCK CAPITALS. Simply return the completed Application Form to your financial adviser or send it to: **UBS Asset Management Funds Ltd, PO Box 3733, Royal Wootton Bassett, Swindon SN4 4BG**. If you have any questions about completing this form, call our investor helpline on **0800 358 3012**. If you are unsure about this investment, please consult your financial adviser.

Personal details

| | |
|-------------------------------|---------------------|
| Title | Surname |
| Forename(s) in full | |
| Permanent residential address | |
| Postcode | Email |
| Telephone (daytime) | Telephone (evening) |

Existing account number (if applicable)

Important: Details required by HM Revenue & Customs

Your application cannot be accepted without the following information:

Date of birth If you do not have a National Insurance number,
 National Insurance number please tick this box

You should be able to find your NI number on a payslip, P45 or P60, a letter from HM Revenue & Customs, a letter from the Department for Work and Pensions (DWP) or pension order book.

Investment details

I apply to subscribe for a stocks and shares ISA for the 2019/2020 tax year and each subsequent year until further notice.

- The maximum investment is £20,000 for the 2019/2020 tax year.
- Minimum lump sum investment is £1,000 per fund. Please make your cheque payable to **UBS Asset Management Funds Ltd**.
- Minimum monthly investment in each fund is £50 per month for all retail funds. Please refer to the UBS Fund Prospectuses for a full list of the funds available. Please complete the direct debit section below and read the Direct Debit Guarantee in the Supplementary Information Document.
- Please note – lump sum payments can be made in addition to monthly direct debits, provided the total amount does not exceed the relevant annual limit.
- Please list the fund(s) you wish to invest in and the corresponding details below.
- Please note that the A and B share classes are restricted to existing investors only.
- New investors will be invested in the C share class by default.

| Name of fund | Share class | Top up | Lump sum amount | Monthly amount | A |
|--------------|-------------|--------|-----------------|----------------|--------------------------|
| | | £ | £ | £ | <input type="checkbox"/> |
| | | £ | £ | £ | <input type="checkbox"/> |
| | | £ | £ | £ | <input type="checkbox"/> |
| Total | | | £ | | <input type="checkbox"/> |

* Please tick box if income is required from the investment. If not available in the specified fund you will be invested in an accumulation share class. No income share class on: UBS Global Emerging Markets Equity Fund, UBS UK Opportunities Fund, UBS Global Optimal Fund, UBS US Equity Fund, UBS US Growth Fund, UBS Global Allocation Fund, UBS Targeted Return Fund.

Bank detail for the purpose of redemption and income

Complete this section if you want any income to be paid directly to a Bank or Building Society.

Name of Bank or Building Society Account where you want your income paid _____

Address of Bank or Building Society _____

Postcode _____

Branch Sort Code _____ Bank/Building Society Number _____

Name(s) of account holders _____

Building Society roll number _____

Declaration

I declare that:

- This Application Form has been completed to the best of my knowledge and belief;
- All subscriptions made, and to be made, belong to me;
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA and (from 6 April 2019) an innovative finance ISA in the same tax year;
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA;
- I am a resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees Serving Overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform **UBS Asset Management Funds Ltd** if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties;
- I enclose a cheque made payable to UBS Asset Management Funds Ltd for the lump sum amount to be invested and confirm that all these funds are my own;
- I am 18 years of age or over.

I authorise UBS Asset Management Funds Ltd:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- To make on my behalf any claims to relief from tax in respect of ISA investments.

I agree to inform you of any changes in my circumstances.

I declare that I have read and understood the current version of the Key Investor Information Document and the Supplementary Information Document, which includes the ISA Terms and Conditions, and that this Application Form has been completed to the best of my knowledge and belief. I have read and consent to the terms of the Direct Debit Guarantee (if applicable) and UBS Asset Management Funds Ltd's use of the Delivery versus Payment Exemption as explained in the Supplementary Information Document and the Prospectus(es) for the relevant Fund(s). All documents are available at www.ubs.com/retailfunds.

Signature _____

Date _____

Financial adviser authorisation

This section should be completed if you are investing on the advice of a financial adviser.

This will help us keep your adviser up to date with the progress of your investment.

I hereby appoint the following financial adviser and authorise you to give him/her information relating to my account.

Agent's stamp

(to be completed by the Agent if appropriate)

Please tick for advice not given

Please tick if cancellation rights do not apply

Agency ref: _____

FCA reference number _____

UK Regulated _____

EU Regulated By _____

I declare that I have read and understood the current version of the Terms of business with Financial Intermediaries Document. All documents are available at www.ubs.com/retailfunds.

Signature _____

Date _____

Important

If making monthly savings, please fill in the direct debit form and return it with this application form.

Data Protection Act

By completing this form you consent to UBS Asset Management (UK) Ltd processing the information you provide. The information may be used for administration purposes or to inform you of other products of UBS Asset Management. If you do not wish to receive information about other products and services of UBS Asset Management, please tick the box.

Issued in April 2019 by UBS Asset Management Funds Ltd. Authorised and regulated by the Financial Conduct Authority. Telephone calls may be recorded.



Instruction to your Bank or Building Society to pay by Direct Debit



Please fill in the form and send to
UBS Asset Management Funds Ltd,
PO Box 3733, Royal Wootton Bassett, Swindon SN4 4BG
Name and full postal address of your bank or building society

To: The Manager
Address
Postcode

Names(s) of account holder(s)

Branch Sort Code
Bank/Building Society
Account Number

Service user number

656267

Reference Number

Instruction to your bank or building society

Please pay UBS Asset Management Funds Ltd direct debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with UBS Asset Management Funds Ltd, and if so, details will be passed electronically to my bank/building society.

Signature (s)

Date

Banks and building societies may not accept Direct Debit Instructions for some types of account.

The Direct Debit Guarantee

This guarantee should be detached and retained by the payer



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit UBS Asset Management Funds Ltd will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request UBS Asset Management Funds Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request
- If an error is made in the payment of your Direct Debit, by UBS Asset Management Funds Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
- If you receive a refund you are not entitled to, you must pay it back when UBS Asset Management Funds Ltd asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

