



هيئة تنظيم
مركز قطر للمال
QATAR FINANCIAL CENTRE
REGULATORY AUTHORITY

AUTHORISATION

Under article 11 (2) of the Qatar Financial Centre Law (Law No. (7) of 2005 of the State of Qatar), the Qatar Financial Centre Regulatory Authority has granted

UBS Qatar LLC

QFC No 01169

authorisation under the Financial Services Regulations to carry on the following regulated activities:

- Arranging Deals in Investments;
- Advising on Investments;
- Arranging the Provision of Custody Services; and
- Arranging Credit Facilities

in or from the Qatar Financial Centre, subject to the restrictions and conditions set out below and in Schedule 1 (Scope of Authorisation).

Conditions relating to retail customers

UBS Qatar LLC must not conduct any regulated activities with or for retail customers unless it has been granted an authorisation to do so by the Qatar Financial Centre Regulatory Authority.

Issued at the Qatar Financial Centre, Doha this 31st day of December 2020.

MICHAEL G. RYAN
Chief Executive Officer



Schedule 1 Scope of Authorisation

The authorisation granted to **UBS Qatar LLC** to carry on the regulated activities of Advising on Investments, Arranging Deals in Investments, and Arranging the Provision of Custody Services is restricted to the following specified products:

- (a) Shares;
- (b) Debt Instruments;
- (c) Warrants;
- (d) Securities Receipts;
- (e) Units in a Collective Investment Fund;
- (f) Options;
- (g) Futures;
- (h) Contracts for Differences; and
- (i) Rights in investments.

The authorisation granted to **UBS Qatar LLC** to carry on the regulated activities of Arranging Credit Facilities is restricted to the following specified products:

- (a) Credit Facilities.