

Top 5 Broker (router and transmitter of orders)			
Class of Instrument	(a) Equities – Shares & Depositary Receipts (i) Tick size liquidity bands 5 and 6 (from 2000 trades per day)		
Notification if <1 average trade per business day in the previous year	N		
Top 5 Venues ranked in terms of volume (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of directed orders
Name: UBS Switzerland AG LEI: 549300WOIFUSNYH0FL22	16.52%	20.41%	0.00%
Name: UBS LIMITED LEI: REYPIEJN7XZHSUI0N355	1.25%	0.90%	0.00%