



Friends of UBS Optimus Foundation Fund

Donor Form

Complete this form to make a contribution to the Friends of UBS Optimus Foundation Fund (the "Fund"), a foundation advised fund administered by National Philanthropic Trust (NPT). If you need assistance completing this form, please contact your UBS Financial Advisor.

1. Donor Information

Identify all registered owners of the account from which assets are being donated. **Note:** The NPT gift substantiation letter which is provided for federal tax reporting purposes, will be sent to the Donor(s) listed below.

Donor(s)

Name (First, middle initial, last)

Address

City, State, Zip

Joint Donor(s)

Name (First, middle initial, last)

Address

City, State, Zip

Organizational Donor(s)

If you are making a contribution from your donor-advised fund (DAF), please contact your DAF provider about how to make the donation from your DAF account. Please note that any contributions from a DAF will not receive a gift substantiation letter. Donations from foundations, corporations or trust may require additional documentation.

Name of entity

Type of entity (Foundation, Corporation, Trust, Donor-Advised Fund)

Address

City, State, Zip

2. Gift information

Please transfer the cash and/or securities from my UBS account number _____ to the Fund account JGA6585 as directed below. Please send the gift substantiation letter to the following email address: _____

Cash

Dollar amount \$ _____

Stock

_____	_____	_____
Name of Stock	Trading symbol	# of shares

_____	_____	_____
Name of Stock	Trading symbol	# of shares

Mutual Funds

_____	_____	_____
Name of Mutual Fund	Trading symbol	# of shares

_____	_____	_____
Name of Mutual Fund	Trading symbol	# of shares

Bonds

_____	_____	_____
Name of Bond	CUSIP	Quantity or face amount

_____	_____	_____
Name of Bond	CUSIP	Quantity or face amount

For any of the securities listed above, are you or have you been in the last 90 days an officer, director, control person or affiliate, or holder of more than 10% of the outstanding shares of the company? Yes No

Are any securities that you are contributing pursuant to this agreement restricted or control securities and subject to: Rule 144, 144A, 145, 701 or Regulation S of the U.S. Securities Act of 1933, as amended, an effective registration statement, and/or any contractual limitations? Yes No

3. Donation suggestion

I would like my donation to be applied where help is most needed, for the general charitable purposes of the UBS Optimus Foundation.

I would like my donation to support one or more of the following charitable purposes of the UBS Optimus Foundation:

Health Education Child protection Environment Emergency rapid response

I would like my donation to support the following specific project of the UBS Optimus Foundation:

Project Description: _____

4. Details concerning future contact:

By signing below, I agree to each of the following:

I would like to receive future information about the activities of the UBS Optimus Foundation:
 Yes No

Please send information to me by e-mail at the following address: _____

Information will be sent to my Financial Advisor to pass on to me.

5. Acknowledgement of terms (Each Joint Donor named in Section 1 must sign below; Acceptable forms of signatures are via DocuSign or wet signature. If DocuSign is preferred, please contact your Advisor)

By signing below, I agree to each of the following:

- i. I understand that my gift described in Sections 2 and 3 is an irrevocable and unconditional contribution when received and accepted by National Philanthropic Trust (NPT), NPT retains exclusive legal control over the contributed assets, and my gift will be used to support a granting program through the Fund.
- ii. I acknowledge that as the Fund’s Advisor, UBS Optimus Foundation has sole discretion to make grant recommendations, and will consider the Donor’s donation suggestion in recommending grants to NPT, with NPT having full investment and grantmaking discretion over the assets. I agree that once donated, I will not have the ability to direct or recommend how my donation will be applied.
- iii. I understand that UBS Optimus Foundation supports the projects of external organizations with the donations it receives, but does not conduct any projects on its own. With respect to any donation suggestion in support of a specific project, I declare that neither I nor any persons related or connected to me will obtain a direct or indirect financial advantage as a result of my donation.
- iv. I confirm that UBS did not provide tax or legal advice regarding this donation.
- v. I certify that, to the best of my knowledge, all information provided is accurate.

Donor signature/Authorized individual

Date

Donor signature/Authorized individual

Date

6. Return this completed form to your Financial Advisor

UBS Financial Services Inc. Branch use only

To complete Donor contribution:

Scan and e-mail to: sh-friendsofoptimus@ubs.com

Where Donor has elected to receive future information from his or her Financial Advisor, information should be sent via e-mail to:

_____ @ubs.com



How to Complete Your Donation

Transfer Instructions

Via UBS account: Please have your Financial Advisor initiate a journal transfer from your UBS account to the Optimus account, JGA6585.

Via check: Please make the check payable to "National Philanthropic Trust" with "Optimus" in the memo line.

Checks should be mailed to:

Attn: Ali Gathers
National Philanthropic Trust
165 Township Line Road, Suite 1200
Jenkintown, PA 19046

Via DAF: Grants should be recommended to National Philanthropic Trust (NPT) for the purposes of the Optimus Fund. NPT's EIN number is 23-7825575.

The address is:

Friends of UBS Optimus Foundation Fund, c/o National Philanthropic Trust
165 Township Line Road, Suite 1200
Jenkintown, PA 19046

Please note: If you are donating from a National Philanthropic Trust DAF, you do not need to also submit a grant recommendation on GivingPoint. Once you've submitted this donor form to UBS, the funds will be transferred from your NPT DAF with no further action required.

Via wire: Please contact your Financial Advisor for wire instructions.

***UBS Financial Advisors should ensure all completed donation forms are sent to sh-friendsofoptimus@ubs.com regardless of method of transfer.**