### Daily Segregation - Secured Amounts

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **A. Cash**
     
     4,276,811 [7315]
   - **B. Securities (at market)**
     
     0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**

   4,155,085 [7325]

3. **Exchange traded options**
   - **a. Market value of open option contracts purchased on a foreign board of trade**
     
     0 [7335]
   - **b. Market value of open contracts granted (sold) on a foreign board of trade**
     
     -236,427 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**

   8,195,469 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**

   0 [7351]

   **Less: amount offset by customer owned securities**

   0 [7352] 0 [7354]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**

   8,195,469 [7355]

   **Method (add lines 4 and 5)**

   8,195,469 [7360]

7. **Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.**

   8,195,469 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **A. Banks located in the United States**
     
     32,842,872 [7500]
   - **B. Other banks qualified under Regulation 30.7**
     
     0 [7520] 32,842,872 [7530]

2. **Securities**
   - **A. In safekeeping with banks located in the United States**
     
     0 [7540]
   - **B. In safekeeping with other banks qualified under Regulation 30.7**
     
     0 [7560] 0 [7570]

3. **Equities with registered futures commission merchants**
   - **A. Cash**
     
     359,954 [7580]
   - **B. Securities**
     
     0 [7590]
   - **C. Unrealized gain (loss) on open futures contracts**
     
     -4,787 [7600]
   - **D. Value of long option contracts**
     
     0 [7610]
   - **E. Value of short option contracts**
     
     0 [7615] 355,167 [7620]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **A. Cash**
     
     0 [7640]
   - **B. Securities**
     
     0 [7650]
   - **C. Amount due to (from) clearing organization - daily variation**
     
     0 [7660]
   - **D. Value of long option contracts**
     
     0 [7670]
   - **E. Value of short option contracts**
     
     0 [7675] 0 [7680]

5. **Amounts held by members of foreign boards of trade**
   - **A. Cash**
     
     404,914 [7700]
   - **B. Securities**
     
     0 [7710]
   - **C. Unrealized gain (loss) on open futures contracts**
     
     4,159,872 [7720]
   - **D. Value of long option contracts**
     
     0 [7730]
   - **E. Value of short option contracts**
     
     -236,427 [7735] 4,328,359 [7740]

6. **Amounts with other depositories designated by a foreign board of trade**

   0 [7760]

7. **Segregated funds on hand**

   0 [7765]

8. **Total funds in separate section 30.7 accounts**

   37,526,398 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**

   29,330,929 [7780]

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**

    15,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**

    14,330,929 [7785]
### Daily Segregation - Segregation Statement

**SEGREGATION REQUIREMENTS** (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - **A. Cash**
   - **B. Securities (at market)**

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - **-2,400,695**

3. **Exchange traded options**
   - **A. Add market value of open option contracts purchased on a contract market**
   - **B. Deduct market value of open option contracts granted (sold) on a contract market**

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - **181,095,707**

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - **0**
   - **Less: amount offset by customer securities**
   - **0**

6. **Amount required to be segregated (add lines 4 and 5)**
   - **181,095,707**

**FUNDS IN SEGREGATED ACCOUNTS**

7. **Deposited in segregated funds bank accounts**
   - **A. Cash**
   - **B. Securities representing investments of customers’ funds (at market)**
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - **A. Cash**
   - **B. Securities representing investments of customers’ funds (at market)**
   - **C. Securities held for particular customers or option customers in lieu of cash (at market)**

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - **0**

10. **Exchange traded options**
    - **A. Value of open long option contracts**
    - **B. Value of open short option contracts**

11. **Net equities with other FCMs**
    - **A. Net liquidating equity**
    - **B. Securities representing investments of customers’ funds (at market)**
    - **C. Securities held for particular customers or option customers in lieu of cash (at market)**

12. **Segregated funds on hand**
    - **0**

13. **Total amount in segregation (add lines 7 through 12)**
    - **239,650,827**

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - **58,555,120**

15. **Management Target Amount for Excess funds in segregation**
    - **25,000,000**

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - **Excess**
    - **33,555,120**

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INITIAL
End Date: 7/6/2023
Firm Name: UBS FINANCIAL SERVICES INC
Form: Daily Seg - FOCUS II
Submit Date: 7/7/2023