### Daily Segregation - Secured Amounts

#### Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder: 0 [7305]

1. **Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers**
   - **Cash**: 4,246,624 [7315]
   - **Securities (at market)**: 0 [7317]

2. **Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade**: 4,757,789 [7325]

3. **Exchange traded options**
   - **Market value of open option contracts purchased on a foreign board of trade**: 7,365 [7335]
   - **Market value of open contracts granted (sold) on a foreign board of trade**: -281,711 [7337]

4. **Net equity (deficit) (add lines 1. 2. and 3.)**: 8,730,068 [7345]

5. **Account liquidating to a deficit and account with a debit balances - gross amount**: 1 [7351]

6. **Amount required to be set aside as the secured amount - Net Liquidating Equity**
   - **Method (add lines 4 and 5)**: 8,730,068 [7355]

#### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. **Cash in banks**
   - **Banks located in the United States**: 32,841,236 [7500]
   - **Other banks qualified under Regulation 30.7**: 0 [7520]

2. **Securities**
   - **In safekeeping with banks located in the United States**: 0 [7540]
   - **In safekeeping with other banks qualified under Regulation 30.7**: 0 [7560]

3. **Equities with registered futures commission merchants**
   - **Cash**: 359,045 [7580]
   - **Securities**: 0 [7590]
   - **Unrealized gain (loss) on open futures contracts**: 3,963 [7600]
   - **Value of long option contracts**: 7,365 [7610]
   - **Value of short option contracts**: -281,711 [7615]

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **Cash**: 0 [7640]
   - **Securities**: 0 [7650]
   - **Amount due to (from) clearing organization - daily variation**: 0 [7660]
   - **Value of long option contracts**: 0 [7670]
   - **Value of short option contracts**: 0 [7675]

5. **Amounts held by members of foreign boards of trade**
   - **Cash**: 388,026 [7700]
   - **Securities**: 0 [7710]
   - **Unrealized gain (loss) on open futures contracts**: 4,753,826 [7720]
   - **Value of long option contracts**: 7,365 [7730]
   - **Value of short option contracts**: -281,711 [7735]

6. **Amounts with other depositories designated by a foreign board of trade**: 0 [7780]

7. **Segregated funds on hand**: 0 [7785]

8. **Total funds in separate section 30.7 accounts**: 38,071,750 [7770]

9. **Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)**

10. **Management Target Amount for Excess funds in separate section 30.7 accounts**: 15,000,000 [7780]

11. **Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target**: 14,341,682 [7785]
INITIAL
End Date:7/14/2023
Firm Name:UBS FINANCIAL SERVICES INC
Form:Daily Seg - FOCUS II
Submit Date:7/17/2023

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 44,688,850 [7010]
   B. Securities (at market) 140,943,998 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market
   -6,054,480 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 358,813 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -574,187 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 179,362,994 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 139,641 [7045]
   Less: amount offset by customer securities -139,641 [7047] 0 [7050]

6. Amount required to be segregated (add lines 4 and 5) 179,362,994 [7060]

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts
   A. Cash 67,673,638 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 0 [7100]
   B. Securities representing investments of customers' funds (at market) 0 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 0 [7130]

10. Exchange traded options
    A. Value of open long option contracts 0 [7132]
    B. Value of open short option contracts 0 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 28,012,817 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 140,943,998 [7170]

12. Segregated funds on hand 0 [7150]

13. Total amount in segregation (add lines 7 through 12) 236,635,453 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 57,267,459 [7190]

15. Management Target Amount for Excess funds in segregation 25,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount
    Excess 32,267,459 [7198]