### Daily Segregation - Secured Amounts

Foreign Futures and Foreign Options Secured Amounts

| Amount required to be set aside pursuant to law, rule or regulation of a government or a rule of a self-regulatory organization authorized thereunder | 0 [7305] |

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - Cash: 3,161,491 [7315]
   - Securities (at market): 0 [7317]

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade: 5,649,570 [7325]

3. Exchange traded options
   - Market value of open option contracts purchased on a foreign board of trade: 278,535 [7335]
   - Market value of open contracts granted (sold) on a foreign board of trade: -336,405 [7337]

5. Account liquidating to a deficit and account with a debit balances - gross amount: 0 [7351]
   - Amount offset by customer owned securities: 0 [7352] 0 [7354]

6. Amount required to be set aside as the secured amount - Net Liquidating Equity (add lines 4 and 5): 8,753,191 [7355]

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6: 8,753,191 [7360]

### FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
   - Banks located in the United States: 32,842,872 [7500]
   - Other banks qualified under Regulation 30.7: 0 [7520] 32,842,872 [7530]

2. Securities
   - In safekeeping with banks located in the United States: 0 [7540]
   - In safekeeping with other banks qualified under Regulation 30.7: 0 [7560] 0 [7570]

3. Equities with registered futures commission merchants
   - Cash: 0 [7580]
   - Securities: 0 [7590]
   - Unrealized gain (loss) on open futures contracts: 16,056 [7600]
   - Value of long option contracts: 278,535 [7610]
   - Value of short option contracts: -336,405 [7615] 5,994,902 [7620]

5. Amounts held by members of foreign boards of trade
   - Cash: 419,258 [7700]
   - Securities: 0 [7710]
   - Unrealized gain (loss) on open futures contracts: 5,633,514 [7720]
   - Value of long option contracts: 278,535 [7730]
   - Value of short option contracts: -336,405 [7735] 5,994,902 [7740]

6. Amounts with other depositories designated by a foreign board of trade: 0 [7760]

7. Segregated funds on hand: 0 [7765]

8. Total funds in separate section 30.7 accounts: 39,189,165 [7770]


10. Management Target Amount for Excess funds in separate section 30.7 accounts: 15,000,000 [7780]

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target: 15,435,974 [7785]
### Daily Segregation - Segregation Statement

#### SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. **Net ledger balance**
   - A. Cash: 41,139,928 [7010]
   - B. Securities (at market): 23,203,774 [7020]

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - 4,236,918 [7030]

3. **Exchange traded options**
   - A. Add market value of open option contracts purchased on a contract market: 26,875 [7032]
   - B. Deduct market value of open option contracts granted (sold) on a contract market: -456,357 [7033]

4. **Net equity (deficit) (add lines 1, 2 and 3)**
   - 68,151,138 [7040]

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - 429,754 [7045]
   - Less: amount offset by customer securities: -429,754 [7047] 0 [7050]

6. **Amount required to be segregated (add lines 4 and 5)**
   - 68,151,138 [7060]

#### FUNDS IN SEGREGATED ACCOUNTS

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 91,325,846 [7070]
   - B. Securities representing investments of customers' funds (at market): 0 [7080]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7090]

8. **Margins on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 0 [7100]
   - B. Securities representing investments of customers' funds (at market): 0 [7110]
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 0 [7120]

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 0 [7130]

10. **Exchange traded options**
    - A. Value of open long option contracts: 0 [7132]
    - B. Value of open short option contracts: 0 [7133]

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 4,352,234 [7140]
    - B. Securities representing investments of customers' funds (at market): 0 [7160]
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 23,203,774 [7170]

12. **Segregated funds on hand**
    - 0 [7150]

13. **Total amount in segregation (add lines 7 through 12)**
    - 118,981,854 [7180]

14. **Excess (deficiency) funds in segregation (subtract line 6 from line 13)**
    - 50,730,716 [7190]

15. **Management Target Amount for Excess funds in segregation**
    - 25,000,000 [7194]

16. **Excess (deficiency) funds in segregation over (under) Management Target Amount**
    - Excess

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INITIAL
End Date: 1/20/2023
Firm Name: UBS FINANCIAL SERVICES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/23/2023