Foreign Futures and Foreign Options Secured Amounts

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
   - A. Cash: 3,161,484
   - B. Securities (at market): 0

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade
   - 5,729,111

3. Exchange traded options
   - a. Market value of open option contracts purchased on a foreign board of trade: 302,640
   - b. Market value of open contracts granted (sold) on a foreign board of trade: -363,180

4. Net equity (deficit) (add lines 1. 2. and 3.)
   - 8,830,055

5. Account liquidating to a deficit and account with a debit balances - gross amount
   - 0

6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)
   - 8,830,055

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.
   - 8,830,055

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks
   - A. Banks located in the United States: 32,842,872
   - B. Other banks qualified under Regulation 30.7: 0

2. Securities
   - A. In safekeeping with banks located in the United States: 0
   - B. In safekeeping with other banks qualified under Regulation 30.7: 0

3. Equities with registered futures commission merchants
   - A. Cash: 335,666
   - B. Securities: 0
   - C. Unrealized gain (loss) on open futures contracts: 25,561
   - D. Value of long option contracts: 0
   - E. Value of short option contracts: 361,227

4. Amounts held by clearing organizations of foreign boards of trade
   - A. Cash: 0
   - B. Securities: 0
   - C. Amount due to (from) clearing organization - daily variation: 0
   - D. Value of long option contracts: 0
   - E. Value of short option contracts: 0

5. Amounts held by members of foreign boards of trade
   - A. Cash: 419,350
   - B. Securities: 5,703,550
   - C. Unrealized gain (loss) on open futures contracts: 0
   - D. Value of long option contracts: 302,640
   - E. Value of short option contracts: -363,180

6. Amounts with other depositories designated by a foreign board of trade
   - 0

7. Segregated funds on hand
   - 0

8. Total funds in separate section 30.7 accounts
   - 39,266,459

9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)
   - 30,436,404

10. Management Target Amount for Excess funds in separate section 30.7 accounts
    - 15,000,000

11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target
    - 15,436,404
INITIAL
End Date: 1/23/2023
Firm Name: UBS FINANCIAL SERVICES INC
Form: Daily Seg - FOCUS II
Submit Date: 1/24/2023

Daily Segregation - Segregation Statement

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance
   A. Cash 41,121,714 [7010]
   B. Securities (at market) 23,198,601 [7020]

2. Net unrealized profit (loss) in open futures contracts traded on a contract market 3,523,690 [7030]

3. Exchange traded options
   A. Add market value of open option contracts purchased on a contract market 10,625 [7032]
   B. Deduct market value of open option contracts granted (sold) on a contract market -442,631 [7033]

4. Net equity (deficit) (add lines 1, 2 and 3) 67,411,999 [7040]

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount 413,829 [7045]
   Less: amount offset by customer securities -413,829 [7047] 0 [7050]

6. Amount required to be segregated (add lines 4 and 5) 67,411,999 [7060]

Funds in Segregated Accounts

7. Deposited in segregated funds bank accounts
   A. Cash 91,352,846 [7070]
   B. Securities representing investments of customers' funds (at market) 0 [7080]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7090]

8. Margins on deposit with derivatives clearing organizations of contract markets
   A. Cash 0 [7100]
   B. Securities representing investments of customers' funds (at market) 0 [7110]
   C. Securities held for particular customers or option customers in lieu of cash (at market) 0 [7120]

9. Net settlement from (to) derivatives clearing organizations of contract markets 0 [7130]

10. Exchange traded options
    A. Value of open long option contracts 0 [7132]
    B. Value of open short option contracts 0 [7133]

11. Net equities with other FCMs
    A. Net liquidating equity 3,649,268 [7140]
    B. Securities representing investments of customers' funds (at market) 0 [7160]
    C. Securities held for particular customers or option customers in lieu of cash (at market) 23,198,601 [7170]

12. Segregated funds on hand 0 [7150]

13. Total amount in segregation (add lines 7 through 12) 118,200,715 [7180]

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) 50,788,716 [7190]

15. Management Target Amount for Excess funds in segregation 25,000,000 [7194]

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess 25,788,716 [7198]