

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

UBS FINANCIAL SERVICES INC.

as of: 06/30/2024

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS

1. Net ledger balance				
A. Cash		\$ 42,790,174		7010
B. Securities (at market)		74,328,952		7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		303,110		7030
3. Exchange traded options				
A. Add: Market value of open option contracts purchased on a contract market		393,011		7032
B. Deduct Market value of open option contracts granted (sold) on a contract market		(487,991)		7033
4. Net equity (deficit) (total of Lines 1, 2 and 3)		117,327,256		7040
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	0			7045
Less: amount offset by customer owned securities	0			7047
		0		7050
6. Amount required to be segregated (add Lines 4 and 5)		\$ 117,327,256		7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts				
A. Cash		45,785,522		7070
B. Securities representing investments of customers' funds (at market)		0		7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		0		7090
8. Margin on deposit with derivatives clearing organizations of contract markets				
A. Cash		0		7100
B. Securities representing investments of customers' funds (at market)		0		7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		0		7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		0		7130
10. Exchange traded options				
A. Value of open long option contracts		0		7132
B. Value of open short option contracts		0		7133
11. Net equities with other FCMs				
A. Net liquidating equity		70,776,641		7140
B. Securities representing investments of customers' funds (at market)		0		7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		74,328,952		7170
12. Segregated funds on hand (describe:)		0		7150
13. Total amount in segregation (add Lines 7 through 12)		190,891,115		7180
14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)		\$ 73,563,859		7190
15. Management target amount for excess funds in segregation		35,000,000		7194
16. Excess (deficiency) funds in segregation over (under) management target amount excess		38,563,859		7198

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1. Amount required to be segregated in accordance with 17 CFR 32.6		\$ 0	7200
2. Funds/property in segregated accounts			
A. Cash	\$ 0		7210
B. Securities (at market value)	0		7220
C. Total funds/property in segregated accounts		0	7230
3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)		\$ 0	7240

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	7305
1. Net ledger balance - Foreign futures and foreign options trading - All customers			
A. Cash		\$ 3,998,744	7315
B. Securities (at market)		\$ 0	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$(671,585)	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		\$ 6,795	7335
B. Market value of open option contracts granted (sold) on a foreign board of trade		\$(36,124)	7337
4. Net equity (deficit) (add Lines 1, 2, and 3)		\$ 3,297,830	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 0		7351
Less: Amount offset by customer owned securities	\$ 0		7352
6. Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5)		\$ 3,297,830	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6.		\$ 3,297,830	7360

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks					
A. Banks located in the United States		\$ 34,839,311	7500		
B. Other banks qualified under 17 CFR. 30.7					
Name(s): <u>See Attached</u>	7510	0	7520	\$ 34,839,311	7530
2. Securities					
A. In safekeeping with banks located in the United States		\$ 0	7540		
B. In safekeeping with other banks designated by 17 CFR. 30.7					
Name(s): _	7550	0	7560	0	7570
3. Equities with registered futures commission merchants					
A. Cash		\$ 395,324	7580		
B. Securities		0	7590		
C. Unrealized gain (loss) on open futures contracts		0	7600		
D. Value of long option contracts		0	7610		
E. Value of short option contracts		0	7615	395,324	7620
4. Amounts held by clearing organizations of foreign boards of trade					
Name(s): _	7630				
A. Cash		\$ 0	7640		
B. Securities		0	7650		
C. Amount due to (from) clearing organization - daily variation		0	7660		
D. Value of long option contracts		0	7670		
E. Value of short option contracts		0	7675	0	7680
5. Amounts held by members of foreign boards of trade					
Name(s): <u>See Attached</u>	7690				
A. Cash		\$ 3,401,500	7700		
B. Securities		0	7710		
C. Unrealized gain (loss) on open futures contracts		(671,585)	7720		
D. Value of long option contracts		6,795	7730		
E. Value of short option contracts		(36,124)	7735	2,700,586	7740
6. Amounts with other depositories designated by a foreign board of trade					
Name(s): _	7750			0	7760
7. Segregated funds on hand (describe): _				0	7765
8. Total funds in separate 17 CFR 30.7 accounts				\$ 37,935,221	7770
9. Excess (deficiency) set aside funds for secured amount (Line Item 7770 minus Line Item 7360)				34,637,391	7380
10. Management target amount for excess funds in separate 17 CFR 30.7 accounts				15,000,000	7780
11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess				19,637,391	7785