## SEgregation Requirements

1. **Net ledger balance**
   - A. Cash: $114,295,838
   - B. Securities (at market): 15,623,324

2. **Net unrealized profit (loss) in open futures contracts traded on a contract market**
   - (9,752,427)

3. **Exchange traded options**
   - A. Add: Market value of open option contracts purchased on a contract market: 145,981
   - B. Deduct: Market value of open option contracts granted (sold) on a contract market: (377,486)

4. **Net equity (deficit) (total of Lines 1, 2 and 3)**
   - 119,935,230

5. **Accounts liquidating to a deficit and accounts with debit balances - gross amount**
   - Less: amount offset by customer owned securities

6. **Amount required to be segregated (add Lines 4 and 5)**
   - $119,935,230

## Funds in Segregated Accounts

7. **Deposited in segregated funds bank accounts**
   - A. Cash: 135,694,837
   - B. Securities representing investments of customers' funds (at market): 0
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

8. **Margin on deposit with derivatives clearing organizations of contract markets**
   - A. Cash: 0
   - B. Securities representing investments of customers' funds (at market): 0
   - C. Securities held for particular customers or option customers in lieu of cash (at market): 0

9. **Net settlement from (to) derivatives clearing organizations of contract markets**
   - 0

10. **Exchange traded options**
    - A. Value of open long option contracts: 0
    - B. Value of open short option contracts: 0

11. **Net equities with other FCMs**
    - A. Net liquidating equity: 5,030,214
    - B. Securities representing investments of customers' funds (at market): 0
    - C. Securities held for particular customers or option customers in lieu of cash (at market): 15,623,324

12. **Segregated funds on hand (describe: )**
    - 0

13. **Total amount in segregation (add Lines 7 through 12)**
    - 156,348,375

14. **Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)**
    - $36,413,145

15. **Management target amount for excess funds in segregation**
    - 25,000,000

16. **Excess (deficiency) funds in segregation over (under) management target amount excess**
    - 11,413,145
### Statement of Segregation Requirements and Funds in Segregation

**For Customers' Dealer Options Accounts**

1. Amount required to be segregated in accordance with 17 CFR 32.6  
   
   $0  

2. Funds/property in segregated accounts
   
   A. Cash  
   - $0  
   -  
   
   B. Securities (at market value)  
   -  
   -  
   
   C. Total funds/property in segregated accounts  
   -  
   -  

3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)
   
   - $0  

### Statement of Secured Amounts and Funds Held in Separate Accounts

**Pursuant to Commission Regulation 30.7**

**Foreign Futures and Foreign Options Secured Amounts**

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder:  

$0  

1. Net ledger balance - Foreign futures and foreign options trading - All customers
   
   A. Cash  
   - $8,152,399  
   -  
   
   B. Securities (at market)  
   - $0  
   -  

2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade  
   
   - $4,844,182  

3. Exchange traded options
   
   A. Market value of open option contracts purchased on a foreign board of trade  
   - $0  
   -  
   
   B. Market value of open option contracts granted (sold) on a foreign board of trade  
   - $(90,011)  
   -  

4. Net equity (deficit) (add Lines 1, 2, and 3)  
   
   - $12,906,570  

5. Accounts liquidating to a deficit and accounts with debit balances - gross amount  
   
   - $0  
   -  
   
   Less: Amount offset by customer owned securities  
   
   - $0  
   -  

6. Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5)  
   
   - $12,906,570  

7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6.  
   
   - $12,906,570
## FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. **Cash in banks**
   - **A.** Banks located in the United States  
     - Name(s): **See Attached**  
     - $34,543,271  
   - **B.** Other banks qualified under 17 CFR. 30.7  
     - 0  
     - **$34,543,271**  

2. **Securities**
   - **A.** In safekeeping with banks located in the United States  
     - **$0**  
   - **B.** In safekeeping with other banks designated by 17 CFR. 30.7  
     - **0**  
     - **0**  

3. **Equities with registered futures commission merchants**
   - **A.** Cash  
     - **$349,032**  
   - **B.** Securities  
     - **0**  
   - **C.** Unrealized gain (loss) on open futures contracts  
     - **0**  
   - **D.** Value of long option contracts  
     - **0**  
   - **E.** Value of short option contracts  
     - **0**  
     - **349,032**  

4. **Amounts held by clearing organizations of foreign boards of trade**
   - **Name(s): **See Attached**  
     - **0**  
   - **A.** Cash  
     - **0**  
   - **B.** Securities  
     - **0**  
   - **C.** Amount due to (from) clearing organization - daily variation  
     - **0**  
   - **D.** Value of long option contracts  
     - **0**  
   - **E.** Value of short option contracts  
     - **0**  
     - **0**  

5. **Amounts held by members of foreign boards of trade**
   - **Name(s): **See Attached**  
     - **$695,805**  
   - **A.** Cash  
     - **0**  
   - **B.** Securities  
     - **0**  
   - **C.** Unrealized gain (loss) on open futures contracts  
     - **4,844,182**  
   - **D.** Value of long option contracts  
     - **0**  
   - **E.** Value of short option contracts  
     - **0**  
     - **5,449,976**  

6. **Amounts with other depositories designated by a foreign board of trade**
   - **Name(s): **  
     - **$90,011**  
   - **A.** Cash  
     - **0**  
   - **B.** Securities  
     - **0**  
   - **C.** Unrealized gain (loss) on open futures contracts  
     - **0**  
   - **D.** Value of long option contracts  
     - **0**  
   - **E.** Value of short option contracts  
     - **0**  
     - **90,011**  

7. **Segregated funds on hand (describe): **  
   - **0**  

8. **Total funds in separate 17 CFR 30.7 accounts**  
   - **$40,342,279**  

9. **Excess (deficiency) set aside funds for secured amount**  
   - (Line Item 7770 minus Line Item 7360)  
   - **27,435,709**  

10. **Management target amount for excess funds in separate 17 CFR 30.7 accounts**  
    - **15,000,000**  

11. **Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess**  
    - **12,435,709**