

 **UBS FINANCIAL SERVICES INC.**

As of Date	Tentative Net Capital	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital
August 31, 2020	\$1,288,258,642	\$1,232,065,888	\$155,077,089	\$1,076,988,799
July 31, 2020	\$1,273,968,738	\$1,229,671,281	\$151,213,961	\$1,078,457,320
June 30, 2020	\$1,262,045,727	\$1,215,397,824	\$147,827,302	\$1,067,570,522
May 31, 2020	\$1,850,998,494	\$1,806,146,704	\$133,863,057	\$1,672,283,647
April 30, 2020	\$1,840,687,563	\$1,793,701,542	\$126,170,761	\$1,667,530,781
March 31, 2020	\$1,761,349,148	\$1,705,226,968	\$128,616,641	\$1,576,610,327
February 28, 2020	\$1,876,735,370	\$1,819,917,296	\$135,364,563	\$1,684,552,733
January 31, 2020	\$1,941,488,986	\$1,888,635,096	\$138,016,537	\$1,750,618,559
*December 31, 2019	\$1,937,034,821	\$1,875,343,232	\$136,091,107	\$1,739,252,125
November 30, 2019	\$1,194,349,704	\$1,136,989,800	\$135,108,425	\$1,001,881,375
October 31, 2019	\$1,212,161,793	\$1,157,208,589	\$126,420,515	\$1,030,788,074
September 30, 2019	\$1,182,278,339	\$1,134,589,727	\$125,053,998	\$1,009,535,729

*The presence of an asterisk indicates a change to that date's net capital information since initial posting.
UBS Financial Services Inc. is a subsidiary of UBS Group AG.