

 **UBS FINANCIAL SERVICES INC.**

As of Date	Tentative Net Capital	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital
December 31, 2024	\$1,222,742,787	\$1,146,825,441	\$129,012,771	\$1,017,812,670
November 30, 2024	\$1,265,167,847	\$1,178,284,794	\$137,064,372	\$1,041,220,422
October 31, 2024	\$1,090,297,470	\$1,007,747,866	\$134,426,958	\$873,320,908
September 30, 2024	\$1,244,391,202	\$1,165,972,323	\$137,857,220	\$1,028,115,103
August 31, 2024	\$1,368,869,580	\$1,296,183,529	\$135,079,236	\$1,161,104,293
July 31, 2024	\$1,268,997,250	\$1,191,286,789	\$140,051,390	\$1,051,235,399
June 30, 2024	\$1,523,488,543	\$1,444,261,880	\$138,156,322	\$1,306,105,558
May 31, 2024	\$961,667,626	\$885,968,377	\$136,420,122	\$749,548,255
April 30, 2024	\$949,177,382	\$876,813,705	\$133,728,509	\$743,085,196
March 31, 2024	\$939,989,772	\$864,165,619	\$140,330,480	\$723,835,139
February 29, 2024	\$939,709,342	\$869,498,118	\$141,344,577	\$728,153,541
*January 31, 2024	\$1,212,823,572	\$1,147,481,603	\$133,872,443	\$1,013,609,160

*The presence of an asterisk indicates a change to that date's net capital information since initial posting.
UBS Financial Services Inc. is a subsidiary of UBS Group AG.