


UBS FINANCIAL SERVICES INC.

As of Date	Tentative Net Capital	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital
July 31, 2019	\$1,256,313,821	\$1,207,555,247	\$131,975,448	\$1,075,579,799
June 30, 2019	\$1,193,847,374	\$1,136,551,375	\$131,298,937	\$1,005,252,438
May 31, 2019	\$1,182,925,067	\$1,132,608,669	\$125,345,886	\$1,007,262,783
April 30, 2019	\$1,207,481,063	\$1,153,004,808	\$130,336,496	\$1,022,668,312
March 31, 2019	\$1,188,267,177	\$1,133,827,878	\$127,192,532	\$1,006,635,346
February 28, 2019	\$1,140,109,530	\$1,084,840,029	\$125,185,171	\$959,654,858
*January 31, 2019	\$1,222,956,172	\$1,174,467,445	\$129,608,693	\$1,044,858,752
*December 31, 2018	\$1,149,132,375	\$1,094,602,713	\$131,091,285	\$963,511,428
November 30, 2018	\$1,275,887,643	\$1,222,010,235	\$121,789,004	\$1,100,221,231
October 31, 2018	\$1,332,614,055	\$1,271,953,463	\$129,299,686	\$1,142,653,777
September 30, 2018	\$1,255,754,162	\$1,198,880,056	\$127,043,205	\$1,071,836,851
August 31, 2018	\$1,245,162,271	\$1,182,862,157	\$127,813,980	\$1,055,048,177
July 31, 2018	\$1,323,729,558	\$1,254,384,166	\$121,806,835	\$1,132,577,331

*The presence of an asterisk indicates a change to that date's net capital information since initial posting.
 UBS Financial Services Inc. is a subsidiary of UBS Group AG.