

 **UBS FINANCIAL SERVICES INC.**

As of Date	Tentative Net Capital	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital
March 31, 2019	\$1,188,267,177	\$1,133,827,878	\$127,192,532	\$1,006,635,346
February 28, 2019	\$1,140,109,530	\$1,084,840,029	\$125,185,171	\$959,654,858
*January 31, 2019	\$1,222,956,172	\$1,174,467,445	\$129,608,693	\$1,044,858,752
*December 31, 2018	\$1,149,132,375	\$1,094,602,713	\$131,091,285	\$963,511,428
November 30, 2018	\$1,275,887,643	\$1,222,010,235	\$121,789,004	\$1,100,221,231
October 31, 2018	\$1,332,614,055	\$1,271,953,463	\$129,299,686	\$1,142,653,777
September 30, 2018	\$1,255,754,162	\$1,198,880,056	\$127,043,205	\$1,071,836,851
August 31, 2018	\$1,245,162,271	\$1,182,862,157	\$127,813,980	\$1,055,048,177
July 31, 2018	\$1,323,729,558	\$1,254,384,166	\$121,806,835	\$1,132,577,331
June 30, 2018	\$1,281,960,630	\$1,217,259,308	\$118,679,033	\$1,098,580,275
May 31, 2018	\$1,279,645,051	\$1,190,512,451	\$121,195,743	\$1,069,316,708
April 30, 2018	\$1,278,339,984	\$1,215,735,508	\$122,775,303	\$1,092,960,205
March 31, 2018	\$1,172,289,855	\$1,098,554,651	\$129,210,992	\$969,343,659

*The presence of an asterisk indicates a change to that date's net capital information since initial posting.
UBS Financial Services Inc. is a subsidiary of UBS Group AG.