<b>♯ UBS FINANCIAL SERVICES INC.</b>				
As of Date	Tentative Net Capital	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital
*January 31, 2024	\$1,212,823,572	\$1,147,481,603	\$133,872,443	\$1,013,609,160
*December 31, 2023	\$1,287,010,017	\$1,214,742,157	\$138,404,286	\$1,076,337,871
November 30, 2023	\$1,268,870,391	\$1,200,456,876	\$135,886,726	\$1,064,570,150
October 31, 2023	\$1,267,409,951	\$1,200,434,260	\$129,978,988	\$1,070,455,272
September 30, 2023	\$1,336,152,079	\$1,272,040,648	\$138,960,584	\$1,133,080,064
August 31, 2023	\$1,377,610,149	\$1,310,770,197	\$155,510,341	\$1,155,259,856
Jul 31, 2023	\$1,319,791,869	\$1,255,942,746	\$161,156,956	\$1,094,785,790
Jun 30, 2023	\$1,350,224,008	\$1,284,226,190	\$156,459,287	\$1,127,766,903
May 31, 2023	\$1,083,926,924	\$1,016,904,966	\$149,302,897	\$867,602,069
April 30, 2023	\$1,088,872,986	\$1,025,138,099	\$147,636,052	\$877,502,047
March 31, 2023	\$1,113,397,146	\$1,054,972,562	\$147,899,990	\$907,072,572
February 28, 2023	\$1,081,834,767	\$1,025,671,513	\$140,651,200	\$885,020,313

<sup>\*</sup>The presence of an asterisk indicates a change to that date's net capital information since initial posting. UBS Financial Services Inc. is a subsidiary of UBS Group AG.