

California Consumer Privacy Request Form

This form is for residents of California who wish to inquire if UBS holds personal information about them. By submitting a completed form to UBS you are exercising certain rights you may have under California law. Please read the below notice carefully.

To make a request for access to or deletion of personal data, you must be the individual to which the personal data relates, or legally authorized to act on behalf of such individual. UBS may take steps to verify that you are the individual to which the personal data relates or that you are validly acting on behalf of the individual and these steps may necessarily result in UBS collecting personal information about you that it does not currently have.

To facilitate the completion of your request, please specify, where possible, the UBS branch location and related staff to which you are making the request. UBS may ask you to provide further details.

The personal data collected in this form will be used and disclosed only for the purpose of processing this request and other purposes relating to this request. By completing and submitting this form, you consent to such purposes. For further information, please visit ubs.com/privacy-statement.

Do not complete this form if you are an existing or former client of UBS, if you have previously provided personal information to UBS as part of an interest in our financial products or services, or if you are a UBS (internal or external) employee or applicant.

Please verify the following:

I am not a current or former UBS client, have not provided UBS with personal information as part of a request for information about its products and services, and I am not an internal or external employee or job applicant.

For current and former UBS clients, you already have privacy protections under our Client Privacy Notice, and therefore this form is not applicable to you. You can review our Client Privacy Notice by clicking [here](#) and selecting "Client Privacy Notice."



Since you are not a client of UBS, please provide as much information as you can about the UBS branch, location and staff that you believe has your personal information to assist us in processing your request. The following questions are intended to help you identify what information UBS may have and which UBS Group company has it. We will search our records based only on the information you provide so please include all versions (i.e., nickname, etc.) you would like searched.

1. Details of the UBS branch to which you are making this request:

2. Details of the Individual to whom the request relates:

First and Last Name _____

California mailing address _____

Email address _____

Telephone number (incl. country code) _____

Company / Organization (if applicable) _____

Please endorse a copy of the individual's California based identification documents as evidence of residency, such as a utility bill, driver's license or state-issued identification card. At least two separate identification documents must be provided.

3. Details of the requestor

Are you making this request on behalf of someone else? Yes No

If you answered "No" please go straight to section 4. Otherwise, please provide the following information:

First and Last Name _____

Street/Mailing address _____

Email address _____

Telephone number: _____

Company/Organization
(if applicable) _____

Please endorse a copy of (a) the requestor's identification documents and (b) proof that as requestor you are legally authorized to access the personal data of the individual.

4. Request scope

What does your request relate to?

- I would like to have information about the relevant personal data UBS has on file.
- I would like the relevant personal data to be deleted.



Please use below box for any additional commentary:

5. Declaration

I confirm that, under penalty of perjury, the information which I have supplied in this form is accurate and complete and I am the person to whom the personal data request is related, or I am an authorized representative acting on his/her behalf.

Signature: _____
Print name: _____
Company (if relevant): _____
Date: _____

Please make sure you have:

- Completed this form.
- Signed the declaration above.
- Attached proof of identity and residence, such as a copy of your utility bill, driver's license or state ID.
- In case you are making the request on behalf of another individual, attach proof that you are legally authorized to do so.

Please send the completed form and required identification documentation to:

UBS Financial Services Inc.
1000 Harbor Boulevard – 5th Floor
Weehawken, NJ 07086
Attn: [M. Kathawala](mailto:M.Kathawala)

Alternately, if you are comfortable with the security of your email transmissions, you may submit the form and required identification documentation via email to SH-DISO-WMA@ubs.com. UBS cannot be responsible for the security of your personal information while it is in transit from your email provider to UBS.