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UBS KeyLink Release Notes

Release version 11.20, November 2017

Classification	Public
date	01 November 2017
Version	1.2
Status	Final

UBS Business Solutions AG is a subsidiary of UBS Group AG

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Table of Contents

1.	Introduction	3
2.	Summary of changes	4
2.1.	Cash Management	4
2.2.	Securities Management	4
2.3.	Treasury Products	4
2.4.	CLS Third Party	4
2.5.	Precious Metals	4
3.	Changes in detail	5
3.1.	Securities Management	5
3.1.1.	Trade Confirmations	5
3.1.2.	Settlement	5
3.1.3.	Corporate Actions	6
3.2.	Treasury Products	8
3.2.1.	Trade Confirmations	8
3.3.	CLS Third Party	12
3.3.1.	Settlement	12
3.4.	Precious Metals	12
3.4.1.	Trade Confirmations	12
4.	Contact Information	15

1. Introduction

We are introducing version 11.20 of UBS KeyLink to cover the SWIFT Standards Release of November 2017. Most of the changes are in the background only but there are some changes to screen layouts, reports and import/export definitions that we feel you should know about.

This release will go live over the weekend of 18th to 19th November, 2017 and during this time the KeyLink application will not be available. The first day of production is Monday 20th November, 2017.

These Release Notes are designed to give an overview only. For further explanation or other questions related to this release please contact your Regional KeyLink support team in the normal way.

As usual, we welcome all types of feedback in terms of information provided as well as the overall functionality of the UBS KeyLink application.

2. Summary of changes

2.1. Cash Management

There are no changes in the Cash Management module.

2.2. Securities Management

Functional area	Function	Changes		
		User Interface	Reports	Import/Export
Trade Confirmations	Buy / Sell	Y		
Settlement	All Transactions	Y	Y	
	Receive/Deliver Instructions	Y	Y	
Corporate Actions	All Items	Y	Y	
	Instructions	Y	Y	

2.3. Treasury Products

Functional area	Function	Changes		
		User Interface	Reports	Import/Export
Trade Confirmation	FX Cash	Y	Y	Y
	My FX Cash	Y	Y	Y
	FX Options	Y	Y	Y
	My FX Options	Y	Y	Y

2.4. CLS Third Party

Functional area	Function	Changes		
		User Interface	Reports	Import/Export
Settlement	Instructions	Y		

2.5. Precious Metals

Functional area	Function	Changes		
		User Interface	Reports	Import/Export
Trade Confirmation	PM Cash	Y	Y	Y
	PM Options	Y	Y	Y

3. Changes in detail

3.1. Securities Management

3.1.1. Trade Confirmations

3.1.1.1. Buy / Sell

Change to	Area	Change Type	Change
User Interface	Indicators & Links	Indicator description	Repurchase Type indicator ROLP is re-named to 'Repo Contract Rollover'

3.1.2. Settlement

3.1.2.1. All Transactions

Change to	Area	Change Type	Change
User Interface	Indicators & Links	Indicator description	Repurchase Type indicator ROLP is re-named to 'Repo Contract Rollover'
			Change to Corporate Action Event type descriptions: <ul style="list-style-type: none"> • PCAL Partial Redemption without Pool Factor Reduction • PRED Partial Redemption with Pool Factor Reduction
			Change to Settlement and Reconciliation type descriptions: <ul style="list-style-type: none"> • TCTR Triparty-Agent's/Service-Provider's Collateral Transaction Reference • TRCI Triparty-Agent's/Service-Provider's Collateral Instruction Reference
	Reasons -> Reasons Detail	New code	Introduction of new reason code: INVM Invalid Modification Request, for Reason Type of Rejection
Reports	All Transactions Detail	Change of field format	'Agent's/Service-Provider's Coll.' heading introduced with 2 separate fields below: <ul style="list-style-type: none"> • Instruction Reference • Transaction Reference

3.1.2.2. Receive/Deliver Instructions

Change to	Area	Change Type	Change
User Interface	Financial & Settlement Attributes	Indicator description	Rename of Repurchase Type drop down value from 'Rollover' to 'Repo Contract Rollover'
	Processing / Linked Transactions	Field formatting	'TCTR Triparty-Agent's/Service-Provider's Coll' heading introduced with 2 separate fields below: <ul style="list-style-type: none"> • Instruction Reference • Transaction Reference
	Main / Type of Settlement	New option	New option in selection drop down for Buy In to indicate the transaction relates to a buy in by the market
Reports	Receive/Delivery Instructions Detail	Change of field format	'Agent's/Service-Provider's Coll.' heading introduced with 2 separate fields below: <ul style="list-style-type: none"> • Instruction Reference • Transaction Reference
	Receive/Delivery Instructions Details Full	Change of field format	'TCTR Triparty-Agent's/Service-Provider's Coll' heading introduced with 2 separate fields below: <ul style="list-style-type: none"> • Instruction Reference • Transaction Reference

3.1.3. Corporate Actions

3.1.3.1. All Items

Change to	Area	Change Type	Change
User Interface	Main -> Actions Detail	Indicator description	New code QCAS introduced to indicate to 'Instruct Cash Amount' only
	Main / Options	New Reason Codes	New codes for Pending: <ul style="list-style-type: none"> • DQCS Disagreement on Cash Amount • FULL Pending Beneficiary Owner details New codes for Rejected: <ul style="list-style-type: none"> • DQCS Amount rejection • DQCC Currency rejection • DQAM Instruction Type rejection • FULL Missing Beneficiary Owner details New code for Cancelled: <ul style="list-style-type: none"> • DQCS Disagreement on Cash Amount

Change to	Area	Change Type	Change
User Interface	Main -> Securities Movement Detail	Indicator description	Reintroduction of tax code TXBL 'Securities proceeds are taxable'
	Main -> Cash Movement Detail	Indicator description	Reintroduction of tax code TXBL 'Cash proceeds are taxable'
	Main	C/A Event type	Change to Corporate Action Event type descriptions: <ul style="list-style-type: none"> • Partial Redemption without Pool Factor Reduction • Partial Redemption with Pool Factor Reduction
Reports	CA Notifications & Advices Detail	C/A Event type	Change to Corporate Action Event type descriptions: <ul style="list-style-type: none"> • Partial Redemption without Pool Factor Reduction • Partial Redemption with Pool Factor Reduction

3.1.3.2. Instructions

Change to	Area	Change Type	Change
User Interface	Create CA Instruction	New field	New field Instruction Cash Amount for entering currency and cash details when CA Option is 001 Cash
	Instruction Overview / Main	New field	New field Instruction Cash Amount to show details entered under Create CA Instruction
		C/A Event type	Change to Corporate Action Event type descriptions: <ul style="list-style-type: none"> • Partial Redemption without Pool Factor Reduction • Partial Redemption with Pool Factor Reduction
Reports	Corporate Action Instruction Detail	New field	New field Instruction Cash Amount

3.2. Treasury Products

3.2.1. Trade Confirmations

3.2.1.1. FX Cash / My FX Cash

The below changes apply to both FX Cash and My FX Cash functions unless specifically indicated otherwise.

Change to	Area	Change Type	Change
User Interface	Summary screen	New code	Implementation of NDF (Non Deliverable Forward) in the Prod ID column
		Change of column usage	NDF Indicator column will be re-named and will include details such as 'Opening' or 'Valuation' when referring to a NDF transaction
		New fields/columns	Addition of new fields to support NDF reporting made available under column selection: <ul style="list-style-type: none"> • Settlement Currency • Valuation Date • Settlement Rate Source • Clearing or Settlement Session • Financial Instrument
	Detail / General Info	New fields	For NDF trades (Opening or Valuation) a new Clearing or Settlement Session field will be implemented
			For NDF Opening a new dynamic block is introduced to show NDF Opening Confirmation relevant information
			For NDF Valuation a new dynamic block is introduced to show NDF Valuation Confirmation relevant information
	Reporting	Field usage change	For both NDF Opening and Valuation confirmations the related transaction can be opened by clicking the hyperlink in the Related Transaction field
New field		Introduction of Financial Instrument details for Derivatives related transactions in the left hand column	

Change to	Area	Change Type	Change
User Interface	Reporting	Change of field position	The following fields have been relocated to the middle column: <ul style="list-style-type: none"> • Collateral Posting • Portfolio Compression • Corporate Sector
		New Reporting Jurisdictions	The following Reporting Jurisdictions are introduced for Derivatives reporting: <ul style="list-style-type: none"> • CAABASC Alberta • CABCBCSC British Columbia • CANBFCSC New Brunswick • CANLDSS Newfoundland and Labrador • CANSNSSC Nova Scotia • CANTNTSO Northwest Territories • CANUNSO Nunavut • CAPEIOSS Prince Edward Island • CASKFCAA Saskatchewan • CAYTOSS Yukon
Reports	Foreign Exchange Detail Main	New fields	For NDF Opening a new dynamic block is introduced to show NDF Opening Confirmation relevant information
			For NDF Valuation a new dynamic block is introduced to show NDF Valuation Confirmation relevant information
			Introduction of Financial Instrument details for Derivatives related transactions in the left hand column
	Foreign Exchange Detail Complete	New fields	For NDF Opening a new dynamic block is introduced to show NDF Opening Confirmation relevant information
			For NDF Valuation a new dynamic block is introduced to show NDF Valuation Confirmation relevant information
			Introduction of Financial Instrument details for Derivatives related transactions in the left hand column
Import/Export	Import	!!MYFXIMP5! <i>My FX Cash only</i>	Introduction of new import definition to include NDF relevant information as well as Financial Instrument data

Change to	Area	Change Type	Change
Import/Export	Import	MT304 SWIFT <i>My FX Cash only</i>	Modification to import definition to include NDF relevant information as well as Financial Instrument data
	Export	!!FX3!!	Introduction of a new export definition to include NDF relevant information
		Various	We are also taking the opportunity to retire the following export definitions that have not been used for a period of 1 year: <ul style="list-style-type: none"> • !!FX4!! • !!FX5!! • !!FXNDF!! • !!FXNDF1!! • !!FXNDF2!! • !!FXNDF3!! • !!FXNDF4!! • !!FXNDF5!!

3.2.1.2. FX Options / My FX Options

The below changes apply to both FX Options and My FX Options functions unless specifically indicated otherwise.

Change to	Area	Change Type	Change
User Interface	Summary screen	New code	Implementation of NDO (Non Deliverable Option) in the Prod ID column
	Detail / General Info	New fields	New Settlement Currency field to show the NDO Settlement Currency
	Reporting	New field	Introduction of Financial Instrument details for Derivatives related transactions in the left hand column
		Change of field position	The following fields have been relocated to the middle column: <ul style="list-style-type: none"> • Collateral Posting • Portfolio Compression • Corporate Sector

Change to	Area	Change Type	Change
User Interface	Reporting	New Reporting Jurisdictions	<p>The following Reporting Jurisdictions are introduced for Derivatives reporting:</p> <ul style="list-style-type: none"> • CAABASC Alberta • CABCBCSC British Columbia • CANBFCSC New Brunswick • CANLDSS Newfoundland and Labrador • CANSNSSC Nova Scotia • CANTNTSO Northwest Territories • CANUNSO Nunavut • CAPEIOSS Prince Edward Island • CASKFCAA Saskatchewan • CAYTOSS Yukon
Reports	FX Options Detail	New field	For NDO the Fixing Date / Time will be shown
			New Settlement Currency field to show the NDO Settlement Currency
			Introduction of Financial Instrument details for Derivatives related transactions in the left hand column
Import/Export	Import	!!MYFXOPTIMP4!! <i>My FX Options only</i>	Introduction of new import definition to include NDO relevant information as well as Financial Instrument data
	Export	!!FXO_V1!!	Introduction of new export definition to include NDO relevant information as well as Financial Instrument data
		Various	<p>We are also taking the opportunity to retire the following export definitions that have not been used for a period of 1 year:</p> <ul style="list-style-type: none"> • !!FXO_V2!! • !!FXO_V3!! • !!FXO_V4!! • !!FXO_V5!! • !!FXO_V6!! • !!FXO_V7!! • !!FXO_V8!!

3.3. CLS Third Party

3.3.1. Settlement

3.3.1.1. CLS Instructions

Change to	Area	Change Type	Change
User Interface	General Info	Removal of fields	Under the current CLS Third Party offering there is no support for settling NDF trades. Due to this the following fields are being removed from the Instruction screen: <ul style="list-style-type: none"> • Instruction Sub Type • Valuation Date • Settlement Ccy

3.4. Precious Metals

3.4.1. Trade Confirmations

3.4.1.1. PM Cash

Change to	Area	Change Type	Change
User Interface	Reporting	New field	Introduction of Financial Instrument details for Derivatives related transactions in the left hand column
		Change of field position	The following fields have been relocated to the middle column: <ul style="list-style-type: none"> • EEA Counterparty Domicile • Comm. or Treas. Financing • Intragroup Trade • Collateral Posting • Portfolio Compression • Corporate Sector
			The following fields have been relocated to the right hand column: <ul style="list-style-type: none"> • Additional Reporting Information

Change to	Area	Change Type	Change
User Interface	Reporting	New Reporting Jurisdictions	The following Reporting Jurisdictions are introduced for Derivatives reporting: <ul style="list-style-type: none"> • CAABASC Alberta • CABCBCSC British Columbia • CANBFCSC New Brunswick • CANLDSS Newfoundland and Labrador • CANSNSSC Nova Scotia • CANTNTSO Northwest Territories • CANUNSO Nunavut • CAPEIOSS Prince Edward Island • CASKFCAA Saskatchewan • CAYTOSS Yukon
Reports	Precious Metals Trade Confirmations Detail Report	New field	Introduction of Financial Instrument details for Derivatives related transactions in the left hand column
Import/Export	Export	New definition !!PMTradeConf_V5!!	Introduced to include Financial Instrument information

3.4.1.2. PM Options

Change to	Area	Change Type	Change
User Interface	Reporting	New field	Introduction of Financial Instrument details for Derivatives related transactions in the left hand column
		Change of field position	The following fields have been relocated to the middle column: <ul style="list-style-type: none"> • EEA Counterparty Domicile • Comm. or Treas. Financing • Intragroup Trade • Collateral Posting • Portfolio Compression • Corporate Sector
			The following fields have been relocated to the right hand column: <ul style="list-style-type: none"> • Additional Reporting Information

Change to	Area	Change Type	Change
User Interface	Reporting	New Reporting Jurisdictions	The following Reporting Jurisdictions are introduced for Derivatives reporting: <ul style="list-style-type: none"> • CAABASC Alberta • CABCBCSC British Columbia • CANBFCSC New Brunswick • CANLDSS Newfoundland and Labrador • CANSNSSC Nova Scotia • CANTNTSO Northwest Territories • CANUNSO Nunavut • CAPEIOSS Prince Edward Island • CASKFCAA Saskatchewan • CAYTOSS Yukon
Reports	Precious Metal Option Confirmations Detail	New field	Introduction of Financial Instrument details for Derivatives related transactions in the left hand column
Import/Export	Export	New definition !!PMOptionConf3!!	Introduced to include Financial Instrument information

4. Contact Information

If you have any questions or comments regarding this release, or just have questions in general then please do not hesitate to contact one of the below regional KeyLink Support teams.

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