

UBS Americas Holding LLC (consolidated) selected financial information

Third quarter 2016

Selected financial information¹

<i>USD million</i>	For the quarter ended 30.9.16	Year-to-date 30.9.16
Income statement		
Net interest income (including credit loss expense / recovery)	298	945
Net fee and commission income	1,992	5,913
Net trading income	157	376
Other income	179	416
Total operating income	2,626	7,650
Personnel expenses	1,728	5,228
General and administrative expenses	886	2,123
Other operating expenses	63	184
Total operating expenses	2,677	7,535
Operating profit / (loss) before tax	(51)	115
Tax expense / (benefit)	(1,319)	(1,304)
Net profit / (loss)	1,268	1,419

<i>USD million</i>	As of	
	30.9.16	31.12.15

Balance sheet		
Cash and balances with central banks	9,413	6,713
Due from banks	6,103	13,883
Receivables from securities financing transactions	48,518	59,446
Financials assets at fair value	18,540	8,218
Loans and other receivables from customers	51,919	49,562
Securities available for sale	6,226	5,162
Goodwill and other intangible assets	6,265	6,310
Deferred tax assets	8,960	7,689
Other assets	7,077	6,094
Total assets	163,021	163,077
Due to banks	38,950	23,262
Payables from securities financing transactions	23,828	35,359
Deposits and payables to customers	65,109	71,482
Financial liabilities at fair value	5,872	4,370
Other liabilities	6,665	9,430
Total liabilities	140,425	143,903
Total equity	22,596	19,174

¹ The UBS Americas Holding LLC consolidated selected financial information is prepared in accordance with accounting principles generally accepted in the US (US GAAP), but does not represent interim financial statements under US GAAP.

Regulatory information

A summary of the regulatory capital components and ratios for UBS Americas Holding LLC will be available as of 10 November 2016 under "Disclosure for legal entities" at www.ubs.com/investors.

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Tables | Within tables, blank fields generally indicate that the field is not applicable or not meaningful, or that information is not available as of the relevant date or for the relevant period. Zero values generally indicate that the respective figure is zero on an actual or rounded basis. Percentage changes are presented as a mathematical calculation of the change between periods.