



Treatment of INACTIVE ACCOUNT

In case there is no financial transaction in an account for a consecutive period of 12 months, the account shall be termed as dormant or inactive. In case of any transaction in the dormant account, UBS India employee shall call up the customer / local custodian of client on register contact number /email to reconfirm Standard Settlement Instructions (SSI) for the trade confirmation. Where customer is not contactable or trade confirmation could not be obtained for whatsoever reason, the client account may be deactivated on the directions from Compliance. The account will be reactivated only on confirmation, to the satisfaction of UBS India, from the client.