UBS Securities India Private Limited Investor Complaints Data - Research Analyst (SEBI Registration Number: INH000001204)

Data for the month ending – **June 2024**

| SN | Received from | Pending at the end of last month | Received | Resolved* | Total Pending# | Pending complaints >3 months | Average Resolution time^(in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved * | Pending # |
|---|---|---|---|---|---|
| 1 | Apr-2024 | 0 | 0 | 0 | 0 |
| 2 | May-2024 | 0 | 0 | 0 | 0 |
| 3 | June-2024 | 0 | 0 | 0 | 0 |
| 4 | July -2024 | 0 | | | |
| | Grand Total | 0 | 0 | 0 | 0 |
| | | | | | |

*Inclusive of complaints of previous months resolved in the current month.
#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (Financial year Apr to March has been considered)

| SN | Year | Carried forward from previous year | Received | Resolved* | Pending # |
|---|---|---|---|---|---|
| 1 | 2021-22 | 0 | 0 | 0 | 0 |
| 2 | 2022-23 | 0 | 0 | 0 | 0 |
| 3 | 2023-24 | 0 | 1 | 1 | 0 |
| 4 | 2024-25 | 0 | | | |
| | Grand Total | 0 | 1 | 1 | 0 |

*Inclusive of complaints of previous months resolved in the current month.
#Inclusive of complaints pending as on the last day of the month