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UBS Securities LLC

Net Capital Summary Schedule

CFTC Regulation 1.55 disclosures - reflecting the most current 12 months

	As of Date	Tentative Net Cap	Adjusted Net Capital	Excess Net Capital
1	April 30, 2024	4,666,857,120	4,181,811,869	3,501,517,373
2	March 31, 2024	4,671,223,468	4,154,595,409	3,407,743,329
3	February 29, 2024	4,809,111,956	4,316,797,633	3,602,259,536
4	January 31, 2024	4,944,535,916	4,455,174,421	3,876,226,050
5	December 31, 2023	5,083,127,897	4,626,767,163	4,071,114,770 **
6	November 30, 2023	5,072,327,209	4,600,587,474	3,913,212,326
7	October 31, 2023	4,805,800,105	4,406,555,605	3,739,737,911
8	September 30, 2023	4,707,152,179	4,324,518,932	3,444,770,481
9	August 31, 2023	5,325,203,024	4,904,446,994	4,003,463,355
10	July 31, 2023	5,552,827,700	5,112,817,898	4,187,683,415
11	June 30, 2023	5,442,774,536	4,691,040,830	3,792,565,979
12	May 31, 2023	5,658,007,507	4,988,802,041	4,275,614,132

** [Amended Refile](#)

