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UBS Securities LLC

Net Capital Summary Schedule

CFTC Regulation 1.55 disclosures - reflecting the most current 12 months

	As of Date	Tentative Net Cap	Adjusted Net Capital	Excess Net Capital
1	March 31, 2023	4,548,702,725	3,737,446,172	3,019,353,422
2	February 28, 2023	5,407,503,815	4,446,801,936	3,845,281,698
3	January 31, 2023	5,410,908,458	4,555,341,117	3,942,023,745
4	December 31, 2022	5,647,764,992	4,785,553,771	4,208,716,914 ***
5	November 30, 2022	5,824,162,591	4,813,215,537	4,230,732,332
6	October 31, 2022	5,401,287,646	4,396,582,409	3,770,795,656 ***
7	September 30, 2022	5,321,008,924	4,410,295,829	3,622,820,531
8	August 31, 2022	5,607,680,440	4,522,098,423	3,759,018,224
9	July 31, 2022	5,780,714,918	4,900,002,631	4,250,954,557
10	June 30, 2022	5,662,950,392	4,804,437,141	4,080,712,762
11	May 31, 2022	5,787,425,137	4,884,216,170	4,163,551,450
12	April 30, 2022	5,640,587,141	4,715,090,977	3,933,850,298

** [Amended Refile](#)