International Swaps and Derivatives Association, Inc.

FOREIGN DERIVATIVES DEALER NOTICE

CANADIAN BUSINESS CONDUCT RULE

Date: 20th August 2024

Dear Client:

This notice is being delivered to you by **UBS AG** ("**Foreign Dealer**") pursuant to Section 39(2)(b) of the Canadian Multilateral Instrument 93-101 Derivatives: Business Conduct and the national instrument that succeeds MI 93-101 following the adoption of a substantially similar rule to MI 93-101 by the British Columbia Securities Commission (the "**Business Conduct Rule**"). The Foreign Dealer is a foreign derivatives dealer under the Business Conduct Rule and will be relying on the exemption set out in Section 39 of the Business Conduct Rule.

The Foreign Dealer hereby provides notice of the following information:

(i) The head office or principal place of business of the Foreign Dealer is located in the following foreign jurisdiction: Switzerland

(ii) All or substantially all of the assets of the Foreign Dealer may be situated outside of any Canadian local jurisdiction.

(iii) There may be difficulty enforcing legal rights against the Foreign Dealer because of the above.

(iv) The names and addresses of Foreign Dealer's agents for service of process in the local jurisdiction are set out in Annex A hereto.

Sincerely,

UBS AG

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ANNEX A – AGENTS FOR SERVICE OF PROCESS

The names and addresses of Foreign Dealer's agents for service of process are set out below by Province/Territory, as applicable:

Province/Territory	Name	Address
Alberta	c/o Stikeman Elliott LLP	152928 Canada Inc., 4200 Bankers Hall, 888-3rd Street S.W., Calgary, Alberta T2P 5C5 Canada
British Columbia	c/o Stikeman Elliott LLP	152928 Canada Inc., 666 Burrard Street, Suite 1700, Park Place, Vancouver, British Columbia V6C 2X8, Canada
Manitoba	MLT Aikins LLP	30th Floor Commodity Exchange Tower, 360 Main Street, Winnipeg, Manitoba R3C 4G1, Canada
New Brunswick	Stewart McKelvey	Suite 1000, Brunswick House, 44 Chipman Hill, Saint John, New Brunswick E2L 2A9, Canada.
Newfoundland and Labrador	Stewart McKelvey	Suite 1100, Cabot Place, 100 New Gower Street, P.O. Box 5038, St. John's Newfoundland and Labrador A1C 5V3, Canada
Nova Scotia	Stewart McKelvey	600-1741 Lower Water Street, P.O. Box 997, Halifax, Nova Scotia B3J 2X2, Canada
Ontario	c/o Stikeman Elliott LLP	152928 Canada Inc., 5300 Commerce Court West, 199 Bay Street, Toronto, Ontario M5L 1B9, Canada
Prince Edward Island	Stewart McKelvey	65 Grafton Street, PO Box 2140, Charlottetown, PEI C1A 8B9
Quebec	c/o Stikeman Elliott LLP	152928 Canada Inc., c/o Stikeman Elliott LLP, 1155 Rene-Levesque Blvd., 41st Floor, Montréal, Québec H3B 3V2, Canada
Saskatchewan	McDougall Gauley LLP	1500 – 1881 Scarth Street, Regina, Saskatchewan S4P 4K9, Canada