INITIAL End Date:5/13/2024 Firm Name:UBS Securities LLC Form:Daily Seg - FOCUS II Submit Date:5/14/2024 Daily Segregation - Secured Amounts

Dali	y Segregation - Secured Amounts	
	Foreign Futures and Foreign Options Secured Amounts	
	Amount required to be set aside pursuant to law, rule or regulation of a foreign	<u>0</u> [7305]
	government or a rule of a self-regulatory organization authorized thereunder	
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers	
	A. Cash	1,247,168,562 [7315]
	B. Securities (at market)	<u>459,845,476</u> [7317]
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	222,186,973 [7325]
3.	Exchange traded options	
	a. Market value of open option contracts purchased on a foreign board of trade	<u>8,931</u> [7335]
	b. Market value of open contracts granted (sold) on a foreign board of trade	<u>0</u> [7337]
4.	Net equity (deficit) (add lines 1. 2. and 3.)	1,929,209,942 [7345]
5.	Account liquidating to a deficit and account with a debit balances - gross amount	<u>1,313,511</u> [7351]
	Less: amount offset by customer owned securities	<u>-1,299,489</u> [7352] <u>14,022</u> [7354]
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity	1,929,223,964 [7355]
	Method (add lines 4 and 5)	
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line	<u>1,929,223,964</u> [7360]
	6.	
	FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS	
1.	Cash in banks	
	A. Banks located in the United States	<u>468,237,180</u> [7500]
	B. Other banks qualified under Regulation 30.7	<u>0</u> [7520] <u>468,237,180</u> [7530]
2.	Securities	
	A. In safekeeping with banks located in the United States	<u>154,570,854</u> [7540]
-	B. In safekeeping with other banks qualified under Regulation 30.7	<u>0</u> [7560] <u>154,570,854</u> [7570]
3.	Equities with registered futures commission merchants	
	A. Cash	<u>0</u> [7580]
	B. Securities	<u>o</u> [7590]
	C. Unrealized gain (loss) on open futures contracts	<u>0</u> [7600]
	D. Value of long option contracts	<u>0</u> [7610]
4	E. Value of short option contracts	<u>0</u> [7615] <u>0</u> [7620]
4.	Amounts held by clearing organizations of foreign boards of trade	0 [7040]
	A. Cash	<u>0</u> [7640]
	B. Securities	<u>0</u> [7650]
	C. Amount due to (from) clearing organization - daily variation D. Value of long option contracts	<u>0</u> [7660] <u>0</u> [7670]
	E. Value of short option contracts	<u>o</u> [7675] <u>o</u> [7680]
5.	Amounts held by members of foreign boards of trade	<u>o</u> [/0/3] <u>o</u> [/000]
0.	A. Cash	<u>909,143,338</u> [7700]
	B. Securities	<u>305,274,623</u> [7710]
	C. Unrealized gain (loss) on open futures contracts	<u>222,186,973</u> [7720]
	D. Value of long option contracts	<u>8,931</u> [7730]
	E. Value of short option contracts	<u>0 [7735] 1,436,613,865</u> [7740]
6.	Amounts with other depositories designated by a foreign board of trade	<u>o</u> [7760]
7.	Segregated funds on hand	<u>o</u> [7765]
8.	Total funds in separate section 30.7 accounts	<u>2,059,421,899</u> [7770]
9.	Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement	<u>130,197,935</u> [7380]
-	Page 1 from Line 8)	<u></u> [. 000]
10.	Management Target Amount for Excess funds in separate section 30.7 accounts	<u>75,000,000</u> [7780]
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<u>55,197,935</u> [7785]
		<u> </u>

Lin Dates 10243 Firm Name:UBS Securities LLC Form:Daily Seg - FOCUS II Submit Date: 51/4/2024 Daily Segregation - Segregation Statement SECREGATION RECURREMENTS(Section 4d(2) of the CEAct) 1. Net Ledger balance A. Cash B. Securities (at market) 2. Net unrealized profit (loss) in open futures contracts traded on a contract market A. Cash A. Ada market value of open option contracts purchased on a contract market 245,798,833 [7030] 2. Net unrealized profit (loss) in open futures contracts granted (sold) on a contract market A. Add market value of open option contracts granted (sold) on a contract market 245,798,833 [7032] 2. Net unrealized profit (loss) in open futures contracts granted (sold) on a contract market A. Add market value of open option contracts granted (sold) on a contract market A. Exchange traded options A. Add market value of open option contracts granted (sold) on a contract market A. Exchange traded options A. Add market value of open option contracts granted (sold) on a contract market A. Exchange traded future souther securities 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount Less: mount offset by customer securities 6. Amount required to be segregated (add lines 4 and 5) FUNDS IN SEGREGATED ACCOUNTS 7. Deposited in segregated funds bank accounts A. Cash A. Cash Margins on deposit with derivatives clearing organizations of contract markets A. Cash A. Cash Margins on deposit with derivatives clearing organizations of contract markets A. Cash B. Securities representing investments of customers' funds (at market) D. Exchange traded options A. Value of open long option contracts B. Value of open short option contracts B. Value of open shor	INIT End	IAL Date:5/13/2024	
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INITIAL End Date:5/13/2024 Firm Name:UBS Securities LLC Form:Daily Seg - FOCUS II Submit Date:5/14/2024 Daily Segregation - Swaps Statement

	STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND	
	FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA	
1	Cleared Swaps Customer Requirements	
1.	Net ledger balance	
	A. Cash	<u>1,000,742,945</u> [8500]
0	B. Securities (at market)	<u>418,711,292</u> [8510]
2.	Net unrealized profit (loss) in open cleared swaps	<u>-245,137,987</u> [8520]
3.	Cleared swaps options	
	A. Market value of open cleared swaps option contracts purchased	<u>o</u> [8530]
	B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>1,174,316,250</u> [8550]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u>131,028</u> [8560]
-	Less: amount offset by customer owned securities	<u>-86,649</u> [8570] <u>44,379</u> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<u>1,174,360,629</u> [8590]
	Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks	
	A. Cash	<u>197,838,256</u> [8600]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8610]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>176,988,457</u> [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer	
	segregated accounts	
	A. Cash	<u>781,686,138</u> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	241,722,835 [8650]
9.	Net settlement from (to) derivatives clearing organizations	<u>6,825,738</u> [8660]
10.	Cleared swaps options	
	A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
	B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<u>0</u> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12.	Cleared swaps customer funds on hand	
	A. Cash	<u>0</u>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> 0 [8715]
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>1,405,061,424</u> [8720]
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	<u>230,700,795</u> [8730]
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>125,000,000</u> [8760]
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)	<u>105,700,795</u> [8770]
	Management	