## INITIAL End Date:1/24/2024 Firm Name:UBS Securities LLC Form:Daily Seg - FOCUS II Submit Date:1/25/2024 Daily Segregation - Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder       [1305]         1. Net toger balance - Foreign Futures and Foreign Option Trading - All Customers       1.290,513.808 [7315]         2. Securities (at market)       227.972.196 [7317]         2. Net unrealized profit ((kss) in open futures contracts traded on a foreign board of trade b. Market value of open option contracts purchased on a foreign board of trade       [17337]         3. Market value of open option contracts purchased on a foreign board of trade       [17337]         4. Net equity (deficit) (add lines 1.2, and 3.)       1.327.478.850 [7345]         5. Account liquidating to a defict and account with a debit balances - gross amount Less: amount offset by customer owned securities       1.337.302.590 [7350]         6. Amount required to be set aside sub secured amount - Net Liquidating Equity       1.337.302.590 [7360]         7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.       1.337.302.590 [7360]         7. Cash ho banks       21.522.217500       1.337.402.591 [7570]         8. Other banks qualified under Regulation 30.7       2.7560]       1.552.832 [7500]         8. Cash       318.552.832 [7500]       1.5760]         9. Securities       2.17610]       2.17620 [115.562.832 [7500]         8. Securities       2.17610]       2.17601		Foreign Futures and Foreign Options Secured Amounts	
government or anle of a self-regulatory organization authorized thereunder       1.       Not ledger balance - Foreign Futures and Foreign Option Trading - All Customers         A. Cash       1.299_513_808 [7315]         B. Securities (at market)       1.299_513_808 [7315]         Exchange traded options       1.18446.531 [7325]         a. Market value of open contracts granted (sold) on a foreign board of trade       45.255 [7337]         b. Market value of open contracts granted (sold) on a foreign board of trade       1.337.178.859 [7345]         c. Ash text value of open contracts granted (sold) on a foreign board of trade       1.337.178.859 [7345]         c. Ash text value of open contracts granted (sold) on a foreign board of trade       1.337.178.859 [7345]         c. Ash text value of open contracts granted (sold) on a foreign board of trade       1.337.178.859 [7345]         c. Ash text value of open contracts granted (sold) on a foreign board of trade       1.337.178.859 [7345]         c. Ash text value of open contracts granted (sold) on a foreign board of trade       1.337.302.930 [7350]         f. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line       1.337.302.930 [7360]         f. Cash in banks       318.562.832 [7500]       1.337.302.530 [7560]         g. Other banks qualified under Regulation 30.7       2 [7560] 33.974.597 [7540]       2 [7570]         Securities       3.0       3.0 <t< td=""><td></td><td></td><td><b>0</b> [7305]</td></t<>			<b>0</b> [7305]
1.         Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers         120.5413.008 [7316]           A. Cash         527.972.196 [7317]           B. Securities (at market)         527.972.196 [7317]           2.         Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade         62.255 [7335]           a. Market value of open option contracts purchased on a foreign board of trade         62.7537]           b. Market value of open ontracts granded (sold) on a foreign board of trade         61.7337]           c. Net equity (deficit) (add lines 1.2 and 3.)         1937.178.859 [7745]           c. Account liquidating to a deficit and account with a debit balances - gross amount         1.937.302.599 [7360]           c. Amount required to be set aside as the secured amount - Net Liquidating Equity         1.937.302.599 [7360]           c. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.         1.937.302.599 [7360]           c. Cash in banks         A. Banks located in the United States         218.562.832 [7500]           B. Other banks qualified under Regulation 30.7         0 [7560] 33.974.597 [7570]           J. Securities         1.01164 States         21.9756[0] 21.956[0]           A. Cash         0 [7560]         23.974.597 [7570]           J. Securities         0 [7560]         21.7500]           A. Cash <td></td> <td></td> <td><u>_</u>[]</td>			<u>_</u> []
A Cash 1.290,613,808 [7315] B. Securities (at market) 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade b. Market value of open option contracts purchased on a foreign board of trade b. Market value of open option contracts purchased on a foreign board of trade b. Market value of open option contracts purchased on a foreign board of trade b. Market value of open contracts granted (sold) on a foreign board of trade b. Market value of open contracts granted (sold) on a foreign board of trade b. Market value of open contracts granted (sold) on a foreign board of trade b. Market value of open contracts granted (sold) on a foreign board of trade b. Market value of open contracts granted (sold) on a foreign board of trade c. Securities c. Account liquidating to a deficit and account with a debit balances - gross amount Less: amount offset by customer owned securities c. Account lequired to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS 1.2 Cash in banks A. Banks located in the United States B. Other banks qualified under Regulation 30.7 2. Securities A. Cash B. Sceurities A. Cash B. Securities C. Inrealized grin (loss) on open futures contracts D. Value of long option contracts E. Value of short option contracts B. Securities C. Arnount beld by deeming organizations of freign boards of trade A. Cash B. Securities C. Arnounts held by deeming organization of foreign boards of trade A. Cash B. Securities C. Arnounts held by deeming organization of dirade C. Arnounts held by deeming organization of dirade C. Arnounts held by deemost of foreign boards of trade A. Cash B. Securities C. Unrealized grin (loss) on	1.		
B. Securities (at market)       527.972.196 [7317]         2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade       15.464.521 [7326]         a. Market value of open option contracts purchased on a foreign board of trade       0[7337]         a. Market value of open ontracts granded (sold) on a foreign board of trade       0[7337]         4. Net equity (deficit) (add lines 1. 2. and 3.)       1937.178.859 [7451]         5. Account liquidating to a deficit and account with a debit balances - gross amount       7.476.413 [7357]         1. Less: amount offset by customer owned securities       7.476.413 [7357]         6. Amount required to be set aside as the secured amount - Net Liquidating Equity       1.337.302.590 [7360]         6.       FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS       1.337.302.590 [7360]         7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.       118.562.832 [7500]         8. Other banks qualified under Regulation 30.7       0 [7520] 318.562.832 [7500]         8. Barkkeeping with banks located in the United States       23.974.597 [7540]         9. Equities with registered futures contracts       0 [7500]         9. C. Unrealized gain (loss) on open futures contracts       0 [7500]         0. Value of long option contracts       0 [7610]         0. Value of long option contracts       0 [7610] <td< td=""><td></td><td></td><td>1.290.513.808 [7315]</td></td<>			1.290.513.808 [7315]
2.       Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade       118,646,551 [7325]         3.       Exchange traded options       46,265 [7335]         3.       Market value of open option contracts purchased on a foreign board of trade       46,265 [7335]         b. Market value of open contracts granted (sold) on a foreign board of trade       12737]         c. Market value of open contracts granted (sold) on a foreign board of trade       12737[37]         5.       Account liquidating to a deficit and account with a debit balances - gross amount       7.476,64117[7357]         1. Less: amount offeet by customer owned securities       7.476,64117[7357]       123.740 [7350]         6.       Amount required to be set aside pursuant to foreign jurisdiction (above) or line       6.       1.937,302,590 [7360]         7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line       6.       1.937,302,592 [7500]         8.       Other banks qualified under Regulation 30.7       2 [7520] 318,562,832 [7500]         9.       Securities       23.974,597 [7540]         8.       B. In safekeeping with banks located in the United States       23.974,597 [7540]         9.       B. safekeeping with banks located in the United States       21.97580]         9.       Na safekeeping with other banks qualified under Regulation 30.7       2 [7560]			
3.       Exchange trade options       4.         a. Market value of open option contracts purchased on a foreign board of trade       0./(7337)         4.       Net equity (deficit) (add lines 1, 2, and 3.)       1.937.178.850 [7345]         5.       Account liquidating to a deficit and account with a debit balances - gross amount       7.46.413 [7352] 123.740 [7354]         6.       Amount required to be set aside as the secured amount - Net Liquidating Equity       1.937.302.890 [7355]         7.       Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line       1.937.302.890 [7360]         6.       FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS       1.937.302.890 [7360]         7.       Greater of amount required to the set aside pursuant to foreign jurisdiction (above) or line       1.937.302.890 [7360]         8.       R. Banks located in the United States       318.662.832 [7500]         9.       B. Other banks qualified under Regulation 30.7       0.[7500] 318.562.832 [7570]         2.       Securities       1.7600]       1.7760]         9.       Equilate with registered futures contracts       0.[7600]         10.       Value of short option contracts       0.[7600]         11.       Evalue of short option contracts       0.[7610]         12.       Value of short option contracts       0.[7601] <td>2.</td> <td></td> <td></td>	2.		
a. Market value of open option contracts purchased on a foreign board of trade     b. Market value of open contracts granted (sold) on a foreign board of trade     b. Market value of open contracts granted (sold) on a foreign board of trade     c. Market value of open contracts granted (sold) on a foreign board of trade     c. Market value of open contracts granted (sold) on a foreign board of trade     c. Market value of open contracts granted (sold) on a foreign board of trade     c. Market value of open contracts granted (sold) on a foreign board of trade     c. Market value of open contracts granted (sold) on a foreign board of trade     c. Market value of open contracts granted (sold) on a foreign board of trade     c. Market value of boards at the secured amount - Net Liquidating Equity     1.937.032.590 [7350]     c. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line     6.     c. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line     6.     c. B. Other banks squalified under Regulation 30.7 ACCOUNTS     c. A lansk located in the United States         A. Banks located in the United States         A. In safekeeping with banks located in the United States         A. In safekeeping with other banks qualified under Regulation 30.7     c. Securities         A. Cash         B. In safekeeping with other banks qualified under Regulation 30.7     c. Unrealized gian (loss) on open futures contracts         Q [7560]     C. Unrealized gian (loss) on open futures contracts         Q [7650]     C. Unrealized gian (loss) on open futures contracts     Q [7650]     C. Amounts held by clearing organization - daily variation         D. Value of fong option contracts         Q [7640]         B. Securities         A. Cash			<u></u> [,]
b. Market value of open contracts granted (sold) on a foreign board of trade       [7/37]         4. Net equity (deficit) (add lines 1. 2. and 3.)       1.937.178.850 [7345]         5. Account liquidating to a deficit and account with a debit balances - gross amount       7.600.153 [7351]         Less: amount offset by customer owned securities       7.476.413 [7522] 123.740 [7354]         6. Amount required to be set aside as the secured amount - Net Liquidating Equity       1.937.302.590 [7356]         7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line       1.937.302.590 [7360]         6.       FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS       1.937.302.590 [7360]         7. Cash in banks       318.562.832 [7500]       1.937.302.590 [7360]         8. Dother banks qualified under Regulation 30.7       0 [7500] 318.662.832 [7500]       1.937.302.590 [7360]         8. Securities       8. In safekeeping with banks located in the United States       283.974.592 [7540]       2.937.92.92 [7540]         9. Equities with registered futures commission merchants       0 [7650] 20.397.4597 [7570]       2.937.92.92 [7540]         8. Securities       0 [7650]       283.974.592 [7640]       1.966.93.97.592 [7670]         9. Value of short option contracts       0 [7650]       2.93.974.597 [7570]         4. Cash       0 [7650]       2.746.01       2.766.01       2.766.01			<b>46.265</b> [7335]
<ol> <li>Net equity (deficit) (add lines 1.2. and 3.)</li> <li>Account liquidating to a deficil and account with a debit balances - gross amount Less: amount offset by customer owned securities</li> <li>Amount required to be set aside as the secured amount - Net Liquidating Equity</li> <li>Method (add lines 4 and 5)</li> <li>Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.</li> <li>FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS</li> <li>Cash in banks</li> <li>A. Banks located in the United States</li> <li>B. Other banks qualified under Regulation 30.7</li> <li>Securities</li> <li>A. In safekeeping with banks located in the United States</li> <li>B. Stere and the regulation 30.7</li> <li>Securities</li> <li>A. Cash</li> <li>B. Stere and the regulation 30.7</li> <li>Securities</li> <li>A. Cash</li> <li>B. Stere and the regulation 30.7</li> <li>Securities</li> <li>A. Cash</li> <li>B. Stere and the united States</li> <li>B. Stere and the regulation 30.7</li> <li>Securities</li> <li>A. Cash</li> <li>B. Stere and the regulation 30.7</li> <li>Securities</li> <li>A. Cash</li> <li>B. Securities</li> <li>C. Unrealized gain (loss) on open futures contracts</li> <li>C. Kanount due to floor option contracts</li> <li>C. Amount beld by clearing organizations of foreign boards of trade</li> <li>A. Cash</li> <li>B. Securities</li> <li>C. Amount sheld by members of foreign boards of trade</li> <li>A. Cash</li> <li>B. Securities</li> <li>C. Amount be to (from) clearing organization - daily variation</li> <li>C. Manount beld by clearing organization - daily variation</li> <li>C. Manount beld by clearing organization - daily variation</li> <li>C. Manount beld by clearing organization - daily variation</li> <li>C. Manount beld by clearing organization - daily variation</li> <li>C. Manount beld by members of foreign b</li></ol>			
5.       Account liquidating to a deficit and account with a debit balances - gross amount Less: amount offset by customer owned securities       7.600.153 [7351]         2.       Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)       1.937.302.590 [7360]         7.       Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.       1.937.302.590 [7360]         7.       Creash in banks       1.937.302.590 [7360]         8.       FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS       1.937.302.590 [7360]         1.       Cash in banks       318.562.832 [7500]       1.937.302.590 [7360]         2.       Securities       10[7520] 318.562.832 [7500]       1.9720] 318.562.832 [7540]         3.       B. In safekeeping with banks located in the United States       283.974.597 [7540]       1.9750]         3.       Equities with registered futures commission merchants       1.7600       1.7750]         4.       Cash       0.77500]       1.7650]       1.7600         5.       Accurities       0.7610       1.7600       1.7600         6.       C. Unrealized gain (loss) on open futures contracts       0.7660]       1.7600         7.       Value of foot option contracts       0.7650]       1.7670]         7.       Value of foot option cont	4.		
Less: amount offset by customer owned securities       -7.476.413 [7352] 123.740 [7354]         6.       Amount required to be set aside as the secured amount - Net Liquidating Equity       1.937.302.590 [7356]         7.       Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.       1.937.302.590 [7360]         7.       Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.       1.937.302.590 [7360]         7.       Cash in banks       1.937.302.590 [7360]         7.       Cash in banks       1.937.302.590 [7360]         8.       Other banks qualified under Regulation 30.7 ACCOUNTS       0 [7520] 318.562.832 [7500]         8.       B. In safekeeping with banks located in the United States       283.974.597 [7540]         9.       In safekeeping with other banks qualified under Regulation 30.7       0 [7560] 283.974.597 [7570]         3.       Equities with registered futures commission merchants       0 [7580]         9.       C. Urrealized gain (loss) on open futures contracts       0 [7610]         0.       Value of short option contracts       0 [7610]         1.       Value of long option contracts       0 [7660]         1.       Amounts held by clearing organization - daily variation       0 [7660]         1.       Value of long option contracts       0 [7671]	5.		
<ul> <li>Amount required to be set aside as the secured amount - Net Liquidating Equity 1.937.302.590 [7355]</li> <li>Method (add lines 4 and 5)</li> <li>Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.</li> <li>FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS</li> <li>Cash in banks</li> <li>A. Banks located in the United States</li> <li>B. Other banks qualified under Regulation 30.7</li> <li>Securities</li> <li>A. In safekeeping with banks located in the United States</li> <li>B. In safekeeping with other banks qualified under Regulation 30.7</li> <li>Equilies with registered futures commission merchants</li> <li>A. Cash</li> <li>B. Securities</li> <li>C. Unrealized gain (loss) on open futures contracts</li> <li>D. Value of short option contracts</li> <li>G. Amount held by clearing organizations of foreign boards of trade</li> <li>A. Amounts held by clearing organization - daily variation</li> <li>D. Value of long option contracts</li> <li>G. C. Amount due to (from) clearing organization - daily variation</li> <li>D. Value of long option contracts</li> <li>G. C. Amounts held by members of foreign boards of trade</li> <li>A. Cash</li> <li>B. Securities</li> <li>C. Amounts held by members of foreign boards of trade</li> <li>A. Cash</li> <li>B. Securities</li> <li>E. Value of short option contracts</li> <li>G. Total function option contracts</li> <li>G. Total function contracts</li> <li>G. Amounts held by members of foreign boards of trade</li> <li>A. Cash</li> <li>B. Securities</li> <li>E. Value of short option contracts</li> <li>G. Amounts held by nembers of foreign boards of trade</li> <li>A. Cash</li> <li>B. Securities</li> <li>E. Value of short option contracts</li> <li>G. Total function segnates devices</li> <li>G. Amounts held by members of foreign boards of trade</li> <li>A. Cash</li> <li>B. Securities</li> <li>C. Amounts with other depositories designated by a foreign board of trade</li> <li>G. Total funds in separate section 30.7 accounts</li> <li>G. Man</li></ul>			
Method (add lines 4 and 5)       Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.       1.937,302,590 [7360]         FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS       FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS         1.       Cash in banks       318,562,832 [7500]         B. Other banks qualified under Regulation 30.7       9 [7520] 318,562,832 [7530]         2.       Securities       283,974,597 [7540]         B. In safekeeping with banks located in the United States       283,974,597 [7540]         B. In safekeeping with other banks qualified under Regulation 30.7       9 [7560] 283,974,597 [7570]         3.       Equities with registered futures commission merchants       7 [7540]         A. Cash       9 [7560]       283,974,597 [7570]         3.       Equites with registered futures contracts       9 [7600]         C. Unrealized gain (loss) on open futures contracts       9 [7600]         D. Value of long option contracts       9 [7610]         E. Value of short option contracts       9 [7660]         B. Securities       9 [7660]         C. Amount bue to (from) clearing organization - daily variation       9 [7670]         D. Value of long option contracts       9 [7670]         E. Value of short option contracts       9 [7670]         A. Cash       9 [7670]	6.		
7.       Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line       1.937.302.590 [7360]         6.       FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS         1.       Cash in banks       318.562.832 [7500]         8.       Other banks qualified under Regulation 30.7       0 [7520] 318.562.832 [7530]         2.       Securities       319.7650 [23.974.597 [7540]         8.       In safekeeping with banks located in the United States       23.974.597 [7570]         3.       Equities with registered futures commission merchants       0 [7560] 23.974.597 [7570]         3.       Equities with registered futures contracts       0 [7590]         0.       Value of long option contracts       0 [7610]         0.       Value of long option contracts       0 [7610]         0.       Value of long option contracts       0 [7650]         0.       Value of long option contracts       0 [7650]         0.       Value of long option contracts       0 [7670]         0.       Value of long option contracts       0 [7670]         0.       Value of long option contracts       0 [76750]         0.       Value of long option contracts       0 [76750]         0.       Value of long option contracts       0 [76750]         0.			<u></u> [ = = = ]
6.         FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS         1. Cash in banks         A. Banks located in the United States       318,562,832 [7500]         B. Other banks qualified under Regulation 30.7       0 [7520] 318,562,832 [7530]         2. Securities       23,974,597 [7540]         A. In safekeeping with banks located in the United States       23,974,597 [7570]         3. Equities with registered futures commission merchants       0 [7560]         A. Cash       0 [7560]         B. Securities       0 [7610]         C. Unrealized gain (loss) on open futures contracts       0 [7610]         C. Value of fong option contracts       0 [7640]         E. Value of short option contracts       0 [7660]         A. Cash       0 [7660]         B. Securities       0 [7660]         C. Amount bue to (from) clearing organization - daily variation       0 [7660]         D. Value of short option contracts       0 [7670]         E. Value of short option contracts       0 [7670]         B. Securities       1096,251,688 [7700]         C. Sash       1096,251,688 [7700]	7.		1.937.302.590 [7360]
1.       Cash in banks       318.562.832 [7500]         B. Other banks located in the United States       318.562.832 [7500]         B. Securities       28.3974.597 [7540]         A. In safekeeping with banks located in the United States       28.3974.597 [7540]         B. In safekeeping with banks located in the United States       28.3974.597 [7540]         B. In safekeeping with other banks qualified under Regulation 30.7       9 [7560] 283.974.597 [7570]         3.       Equities with registered futures commission merchants       9 [7580]         A. Cash       9 [7500]       0.17590]         B. Securities       9 [7610]       0.17590]         C. Unrealized gain (loss) on open futures contracts       9 [7610]       0.17610]         E. Value of long option contracts       9 [7660]       0.17610]         E. Value of short option contracts       9 [7660]       0.17610]         B. Securities       9 [7660]       0.17610]         C. Ash       9 [7660]       0.1760]         B. Securities       9 [7660]       0.1760]         C. Ash       9 [7670]       0.1760]         B. Securities       9 [7670]       0.1760]         C. Ash       109 (201 contracts       9 [7673] § [7680]         S. Amounts held by members of foreign boards of trade       105			<u></u> [ = = = ]
1.       Cash in banks       318.562.832 [7500]         B. Other banks located in the United States       318.562.832 [7500]         B. Securities       23.974.597 [7540]         A. In safekeeping with banks located in the United States       28.3974.597 [7540]         B. In safekeeping with banks located in the United States       28.3974.597 [7540]         B. In safekeeping with other banks qualified under Regulation 30.7       9 [7560] 283.374.597 [7570]         3.       Equities with registered futures commission merchants       9 [7580]         A. Cash       9 [7610]       10.1000         B. Securities       9 [7610]       10.1000         C. Unrealized gain (loss) on open futures contracts       9 [7610]       10.1000         D. Value of long option contracts       9 [7610]       10.1000         E. Value of short option contracts       9 [7660]       10.1000         A. Cash       9 [7660]       10.1000       10.1000         B. Securities       9 [7660]       10.10000       10.10000         D. Value of long option contracts       9 [7670]       10.100000         B. Securities       9 [7670]       10.100000000       10.10000000000000         D. Value of short option contracts       9 [7670]       10.10000000000000000000000000000000000		FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS	
B. Other banks qualified under Regulation 30.7       Q[7520] 318.562.832 [7530]         2.       Securities         A. In safekeeping with banks located in the United States       283.974.597 [7540]         B. In safekeeping with other banks qualified under Regulation 30.7       Q[7560] 283.974.597 [7570]         3.       Equities with registered futures commission merchants       Q[7580]         A. Cash       Q[7500]         B. Securities       Q[7600]         C. Unrealized gain (loss) on open futures contracts       Q[7610]         D. Value of long option contracts       Q[7640]         E. Value of short option contracts       Q[7650]         A. Cash       Q[7640]         B. Securities       Q[7650]         C. Amounts held by clearing organizations of foreign boards of trade       Q[7660]         A. Cash       Q[7670]         B. Securities       Q[7670]         C. Amount due to (from) clearing organization - daily variation       Q[7670]         D. Value of long option contracts       Q[7670]         E. Value of short option contracts       Q[7670]         E. Value of long option contracts       Q[7670]         B. Securities       Q[7670]         C. Amounts held by members of foreign boards of trade       Q[7770]         A. Cash       Q[773	1.		
B. Other banks qualified under Regulation 30.7       Q [7520] 318.562.832 [7530]         2.       Securities         A. In safekeeping with banks located in the United States       283.974.597 [7540]         B. In safekeeping with other banks qualified under Regulation 30.7       Q [7560] 283.974.597 [7570]         3.       Equities with registered futures commission merchants       Q [7580]         A. Cash       Q [7500]         B. Securities       Q [7600]         C. Unrealized gain (loss) on open futures contracts       Q [7610]         E. Value of long option contracts       Q [7640]         B. Securities       Q [7640]         A. Cash       Q [7640]         B. Securities       Q [7640]         A. Cash       Q [7650]         A. Cash       Q [7640]         B. Securities       Q [7650]         C. Amounts held by clearing organizations of foreign boards of trade       Q [7660]         A. Value of long option contracts       Q [7670]         E. Value of short option contracts       Q [7670]         E. Value of long option contracts       Q [7670]         E. Value of long option contracts       Q [7670]         B. Securities       Q [7670]         C. Amounts held by members of foreign boards of trade       Q [7770]		A. Banks located in the United States	<b>318.562.832</b> [7500]
<ol> <li>Securities         <ul> <li>A. In safekeeping with banks located in the United States</li> <li>B. In safekeeping with other banks qualified under Regulation 30.7</li> <li>Equities with registered futures commission merchants</li> <li>A. Cash</li> <li>Securities</li> <li>C. Unrealized gain (loss) on open futures contracts</li> <li>Q. [7560]</li> <li>Total funds on bank of the point point on the point of the point point on the point point on the point o</li></ul></li></ol>			
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<ul> <li>3. Equities with registered futures commission merchants <ul> <li>A. Cash</li> <li>B. Securities</li> <li>C. Unrealized gain (loss) on open futures contracts</li> <li>D. Value of long option contracts</li> <li>E. Value of short option contracts</li> <li>D. Total used for a prime organization of foreign boards of trade</li> <li>A. Cash</li> <li>B. Securities</li> <li>C. Cash</li> <li>D. Value of long option contracts</li> <li>D. Total use of long option contracts</li> <li>D. Value of long option contracts</li> &lt;</ul></li></ul>			
<ul> <li>A. Cash</li> <li>G. Cash</li> <li>B. Securities</li> <li>C. Unrealized gain (loss) on open futures contracts</li> <li>D. Value of long option contracts</li> <li>C. Unrealized gain (loss) on open futures contracts</li> <li>C. Unrealized gain (loss) on open futures contracts</li> <li>C. Amounts held by clearing organizations of foreign boards of trade</li> <li>A. Cash</li> <li>Securities</li> <li>C. Amount due to (from) clearing organization - daily variation</li> <li>D. Value of short option contracts</li> <li>C. Amount due to (from) clearing organization - daily variation</li> <li>D. F650</li> <li>C. Amount due to (from) clearing organization - daily variation</li> <li>D. Value of short option contracts</li> <li>D. Value of short option contracts</li> <li>D. Value of short option contracts</li> <li>C. Cash</li> <li>E. Value of short option contracts</li> <li>D. Value of short option contracts</li> <li>D. Value of short option contracts</li> <li>D. Value of long option contracts</li> <li>D. Value of short option contracts</li> <li>D. Value of long option contracts</li> <li>D. Value of short option contract</li></ul>	3.		<u> </u>
B. Securities       0 [7590]         C. Unrealized gain (loss) on open futures contracts       0 [7600]         D. Value of long option contracts       0 [7610]         E. Value of short option contracts       0 [7610]         E. Value of short option contracts       0 [7640]         Amounts held by clearing organizations of foreign boards of trade       0 [7640]         B. Securities       0 [7660]         C. Amount due to (from) clearing organization - daily variation       0 [7660]         D. Value of long option contracts       0 [7670]         E. Value of short option contracts       0 [7670]         D. Value of long option contracts       0 [7670]         E. Value of short option contracts       0 [7670]         B. Securities       0 [7675] 0 [7680]         Securities       1.096.251.688 [7700]         B. Securities       18.646.581 [7720]         C. Unrealized gain (loss) on open futures contracts       18.646.581 [7720]         D. Value of short option contracts       0 [7735] 1.458.942.133 [7740]         C. Unrealized gain (loss) on open futures contracts       0 [7765]         D. Value of short option contracts       0 [7735] 1.458.942.133 [7740]         C. Unrealized gain (loss) on open futures contracts       0 [7765]         E. Value of short option contracts       0 [7765		· •	<b>0</b> [7580]
C. Unrealized gain (loss) on open futures contracts       0 [7600]         D. Value of long option contracts       0 [7610]         E. Value of short option contracts       0 [7615] 0 [7620]         4. Amounts held by clearing organizations of foreign boards of trade       0 [7640]         A. Cash       0 [7660]         B. Securities       0 [7660]         C. Amount due to (from) clearing organization - daily variation       0 [7660]         D. Value of long option contracts       0 [7670]         E. Value of short option contracts       0 [7670]         A. Cash       1096,251,688 [7700]         B. Securities       243,997,599 [7710]         C. Unrealized gain (loss) on open futures contracts       118,646,581 [7720]         D. Value of long option contracts       46,265 [7730]         E. Value of short option contracts       0 [7765]         B. Securities       0 [7765]         C. Amounts with other depositories designated by a foreign board of trade       0 [7765]         C. Amounts with other depositories designated by a foreign board of trade       0 [7765]         Segregated funds on hand       0 [7765]			
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<ul> <li>E. Value of short option contracts</li> <li>4. Amounts held by clearing organizations of foreign boards of trade <ul> <li>A. Cash</li> <li>B. Securities</li> <li>C. Amount due to (from) clearing organization - daily variation</li> <li>D. Value of long option contracts</li> <li>D. Value of short option contracts</li> <li>D. Value of long option contracts</li> <li>D. Value of short option contracts</li> <li>D. Value of long option contracts</li> <li>E. Value of short option contracts</li> <li>E. Value of short option contracts</li> <li>D. Value of short option contracts</li> <li>D. Value of long option contracts</li> <li>D. Value of short option 30.7 accounts</li> <li>D. Value of short option 30.7 accounts</li> <li>D. Value form Line 8)</li> </ul> </li> <li>10. Management Target Amount for Excess funds in separate section 30.7 accounts</li> <li>T. Source option Line 8</li> <li>10. Management Target Amount for Excess funds in separate section 30.7 accounts</li> </ul>			
<ul> <li>Amounts held by clearing organizations of foreign boards of trade <ul> <li>A. Cash</li> <li>B. Securities</li> <li>C. Amount due to (from) clearing organization - daily variation</li> <li>D [7650]</li> <li>C. Amount due to (from) clearing organization - daily variation</li> <li>D [7670]</li> <li>E. Value of long option contracts</li> <li>D [7670]</li> <li>E. Value of short option contracts</li> <li>D [7670]</li> <li>D. Value of long option contracts</li> <li>D [7670]</li> <li>D. Value of long option contracts</li> <li>D [7700]</li> <li>B. Securities</li> <li>C. Unrealized gain (loss) on open futures contracts</li> <li>D. Value of long option contracts</li> <li>D. Value of long option contracts</li> <li>D. Value of short option contracts</li> <li>D [7730]</li> <li>D. Value of short option contracts</li> <li>D [7760]</li> <li>E. Value of short option contracts</li> <li>D [7765]</li> <li>C. Total funds in separate section 30.7 accounts</li> <li>D. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</li> </ul> </li> <li>10. Management Target Amount for Excess funds in separate section 30.7 accounts</li> <li>Total funds in separate section 30.7 accounts</li> <li>D (D (D</li></ul>			
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<ul> <li>E. Value of short option contracts</li> <li>Amounts held by members of foreign boards of trade <ul> <li>A. Cash</li> <li>B. Securities</li> <li>C. Unrealized gain (loss) on open futures contracts</li> <li>D. Value of long option contracts</li> <li>E. Value of short option contracts</li> <li>Segregated funds on hand</li> </ul> </li> <li>6. Amounts with other depositories designated by a foreign board of trade</li> <li>0 [7735] 1.458.942.133 [7740]</li> <li>6. Amounts with other depositories designated by a foreign board of trade</li> <li>0 [7765]</li> <li>8. Total funds in separate section 30.7 accounts</li> <li>9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</li> <li>10. Management Target Amount for Excess funds in separate section 30.7 accounts</li> </ul>			
<ul> <li>5. Amounts held by members of foreign boards of trade <ul> <li>A. Cash</li> <li>B. Securities</li> <li>C. Unrealized gain (loss) on open futures contracts</li> <li>D. Value of long option contracts</li> <li>E. Value of short option contracts</li> <li>E. Value of short option contracts</li> <li>G. Amounts with other depositories designated by a foreign board of trade</li> <li>I [7735] 1.458.942.133 [7740]</li> </ul> </li> <li>6. Amounts with other depositories designated by a foreign board of trade</li> <li>I [7765]</li> <li>Segregated funds on hand</li> <li>I total funds in separate section 30.7 accounts</li> <li>Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</li> <li>10. Management Target Amount for Excess funds in separate section 30.7 accounts</li> </ul>			
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<ul> <li>Amounts with other depositories designated by a foreign board of trade</li> <li>Segregated funds on hand</li> <li>Total funds in separate section 30.7 accounts</li> <li>Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</li> <li>Management Target Amount for Excess funds in separate section 30.7 accounts</li> <li>Management Target Amount for Excess funds in separate section 30.7 accounts</li> </ul>			
<ul> <li>7. Segregated funds on hand</li> <li>9. Total funds in separate section 30.7 accounts</li> <li>9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</li> <li>10. Management Target Amount for Excess funds in separate section 30.7 accounts</li> <li>11. Management Target Amount for Excess funds in separate section 30.7 accounts</li> </ul>	6.		
<ul> <li>8. Total funds in separate section 30.7 accounts</li> <li>9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)</li> <li>10. Management Target Amount for Excess funds in separate section 30.7 accounts</li> </ul>	7.		
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Page 1 from Line 8)         10.       Management Target Amount for Excess funds in separate section 30.7 accounts <b>75,000,000</b> [7780]	9.		
10.       Management Target Amount for Excess funds in separate section 30.7 accounts       75,000,000 [7780]			·
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Firm Forr	IAL Date:1/24/2024 n Name:UBS Securities LLC n:Daily Seg - FOCUS II mit Date:1/25/2024	
	y Segregation - Segregation Statement	
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1.	SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct) Net ledger balance	
••	A. Cash	<b>926,006,327</b> [7010]
	B. Securities (at market)	<u>4,272,420,059</u> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<u>1,487,422,469</u> [7030]
3.	Exchange traded options	<u></u>
	A. Add market value of open option contracts purchased on a contract market	<b>202,917,961</b> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<u>-173,765,663</u> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	6,715,001,153 [7040]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u>30,604,884</u> [7045]
	Less: amount offset by customer securities	<u>-30,556,262</u> [7047] <u>48,622</u> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<b>6,715,049,775</b> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<u>488,769,402</u> [7070]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b>156,269,396</b> [7090]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	2,457,098,217 [7100]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at	<b>4,116,150,663</b> [7120]
	market)	
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<u>-230,708,917</u> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<u>202,917,961</u> [7132]
44	B. Value of open short option contracts	<u>-173,765,663</u> [7133]
11.	Net equities with other FCMs	0 (74.40)
	A. Net liquidating equity	<u><b>0</b></u> [7140]
	B. Securities representing investments of customers' funds (at market)	<u>0</u> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<u><b>0</b></u> [7170]
12.	Segregated funds on hand	<u>0</u> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<u>0</u> [7130] <u>7,016,731,059</u> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<u>301,681,284</u> [7190]
15.	Management Target Amount for Excess funds in segregation	<u>150,000,000</u> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<u>151,681,284</u> [7198]
	Excess	

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	STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND	
	FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA	
4	Cleared Swaps Customer Requirements	
1.	Net ledger balance	
	A. Cash	<u>749,121,520</u> [8500]
-	B. Securities (at market)	<u>477,747,878</u> [8510]
2.	Net unrealized profit (loss) in open cleared swaps	<u>-41,502,952</u> [8520]
3.	Cleared swaps options	
	A. Market value of open cleared swaps option contracts purchased	<u>0</u> [8530]
	B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>1,185,366,446</u> [8550]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<b>24,010,503</b> [8560]
	Less: amount offset by customer owned securities	<u>-23,967,031</u> [8570] <u>43,472</u> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<u>1,185,409,918</u> [8590]
	Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks	
	A. Cash	<u>180,658,011</u> [8600]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8610]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	206,290,344 [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer	
	segregated accounts	
	A. Cash	<b>746,019,789</b> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<b>0</b> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<b>271,457,534</b> [8650]
9.	Net settlement from (to) derivatives clearing organizations	<b>6,438,285</b> [8660]
10.	Cleared swaps options	<u> </u>
	A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
	B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11.	Net equities with other FCMs	<u>•</u> [0000]
	A. Net liquidating equity	<u>0</u> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> [8710]
12.	Cleared swaps customer funds on hand	
	A. Cash	<u>0</u>
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> 0 [8715]
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>1,410,863,963</u> [8720]
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from	<u>225,454,045</u> [8730]
	line 13)	<u>223,434,043</u> [0/30]
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>125,000,000</u> [8760]
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)	<b>100,454,045</b> [8770]
	Management	