

UBS MTF Trading Notice

Double Volume Cap Update

11 January 2019

Dear Member,

Following the ESMA update published on 9 January, UBS MTF would like to inform you of the resumption of trading under the Reference Price Waiver for most instruments that were suspended in July 2018, as well as the implementation of several additional double volume cap suspensions.

Effective from the start of trading on **Monday 14 January 2019**:

- the **DVC suspension ends for 27 instruments** and therefore these instruments will again be available for trading under the Reference Price Waiver again; and
- a **six-month suspension commences for 21 instruments** which means that they will not be available for trading under the Reference Price Waiver during this period. These capped instruments remain available for trading under the Large in Scale waiver as well as on our Periodic Auction Order Book, and
- an **additional six-month re-suspension for nine instruments** will also take effect at the same time.

Our daily Stock Universe file, published on our website at <https://www.ubs.com/global/en/investment-bank/ib/multilateral-trading-facility/reference-data.html> and by SFTP, will be updated to reflect the additional suspensions and the resumptions for trading on Wednesday morning. A list of the new suspensions and reinstated instruments is attached to this notice.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 207 568 2052 or ubs_mtf@ubs.com.

UBS MTF Management

Notice N1 2019

UBS MTF Notices and documentation are available at <https://www.ubs.com/mtf>. If you have any queries regarding this notice, or comments on the above, please contact the UBS MTF Supervisors at +44 20 7568 2052 or ubs_mtf@ubs.com.

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