

UBS MTF Market Notice

Double Volume Cap Update

9 August 2019

Dear Member,

Following the ESMA update published on 7 August, UBS MTF would like to inform you of upcoming double volume cap suspension changes.

- Effective from the start of trading on **12 August 2019**, a **six-month DVC suspension commences for 25 instruments** on UBS MTF, which means that they will not be available under the Reference Price Waiver during this period. These capped instruments remain available for trading under the Large in Scale Waiver as well as on our Periodic Auction Order Book.
- Effective from the start of trading on **20 August 2019**, the **DVC suspension ends for 8 instruments** on UBS MTF and therefore these instruments will again be available for trading under the Reference Price Waiver.

Our daily Stock Universe file, published on our website at <https://www.ubs.com/global/en/investment-bank/ib/multilateral-trading-facility/reference-data.html> and by SFTP, will be updated to reflect the suspensions and resumptions for trading on each date. A list of the affected instruments is attached to this notice.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 207 568 2052 or ubs_mtf@ubs.com.

UBS MTF Management

Notice N21 2019

UBS MTF Notices and documentation are available at <https://www.ubs.com/mtf>. If you have any queries regarding this notice, or comments on the above, please contact the UBS MTF Supervisors at +44 20 7568 2052 or ubs_mtf@ubs.com.

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**Instruments to be suspended from execution
under reference price waiver effective 12
August**

<i>Symbol</i>	<i>ISIN</i>	<i>Name</i>	<i>Scope</i>
BCARTb	BE0974281132	Biocartis NV	EU Level
SAXd	DE0007493991	Stroeer SE & Co KGaA	EU Level
WSUd	DE0007507501	Washtec AG	EU Level
SBSd	DE000STRA555	STRATEC SE	EU Level
CGMp	FR0000053506	Cegedim SA	EU Level
HILSI	GB0004270301	Hill & Smith Holdings PLC	EU Level
HTGI	GB0004478896	Hunting PLC	EU Level
INTUI	GB0006834344	Intu Properties PLC	EU Level
SMPI	GB0007291015	St Modwen Properties PLC	EU Level
SNRI	GB0007958233	Senior PLC	EU Level
RWII	GB0007995243	Renewi PLC	EU Level
LOOKI	GB00B17MMZ46	Lookers PLC	EU Level
NTGI	GB00B41H7391	Northgate PLC	EU Level
WKPI	GB00B67G5X01	Workspace Group PLC	EU Level
AVVI	GB00BBG9VN75	AVEVA Group PLC	EU Level
BIFFI	GB00BD8DR117	Biffa PLC	EU Level
AVSTI	GB00BDD85M81	Avast PLC	EU Level
AMGOI	GB00BFFK8T45	Amigo Holdings PLC	EU Level
BCAI	GB00BP0S1D85	BCA Marketplace PLC	EU Level
AGRI	GB00BVGBWW93	Assura PLC	EU Level
TBCGI	GB00BYT18307	TBC Bank Group PLC	EU Level
EQNI	GB00BYWWHR75	Equiniti Group PLC	EU Level
JPJI	GB00BZ14BX56	JPJ Group PLC	EU Level
RECM	IT0003828271	Recordati SpA	EU Level
ADJd	LU1250154413	ADO Properties SA	EU Level

**Instruments for which suspension from
execution under reference price waiver is to be
revoked effective 20 August**

<i>Symbol</i>	<i>ISIN</i>	<i>Name</i>
AMSz	AT0000A18XM4	ams AG
ALDp	FR0013258662	ALD SA
RTNI	GB00B0YG1K06	Restaurant Group PLC/The
SREI	GG00B1W3VF54	Sirius Real Estate Ltd
SUK2I	IE00B4QNK008	L&G FTSE 100 Super Short Strategy Daily 2x UCITS ETF
ACOMOA	NL0000313286	Amsterdam Commodities NV
DPEUI	NL0012328801	DP Eurasia NV
XPPI	SG9999003735	XP Power Ltd