

UBS MTF Market Notice

Double Volume Cap Update

9 July 2019

Dear Member,

Following the ESMA update published on 5 July, UBS MTF would like to inform you of upcoming double volume cap suspension changes this week.

- Effective from the start of trading on **10 July 2019**:
 - a **six-month DVC suspension commences for 27 instruments**, which means that they will not be available under the Reference Price Waiver during this period. These capped instruments remain available for trading under the Large in Scale Waiver as well as on our Periodic Auction Order Book, and
 - an additional **six-month DVC re-suspension, for 1 instrument**, will also take effect at the same time.
- Effective from the start of trading on **15 July 2019**, the **DVC suspension ends for 21 instruments** and therefore these instruments will again be available for trading under the Reference Price Waiver.

Our daily Stock Universe file, published on our website at <https://www.ubs.com/global/en/investment-bank/ib/multilateral-trading-facility/reference-data.html> and by SFTP, will be updated to reflect the suspensions and resumptions for trading on each date. A list of the affected instruments is attached to this notice.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 207 568 2052 or ubs_mtf@ubs.com.

UBS MTF Management

Notice N20 2019

UBS MTF Notices and documentation are available at <https://www.ubs.com/mtf>. If you have any queries regarding this notice, or comments on the above, please contact the UBS MTF Supervisors at +44 20 7568 2052 or ubs_mtf@ubs.com.

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Instruments to be suspended from execution under reference price waiver effective 10 July

<i>UMTF Symbol</i>	<i>ISIN</i>	<i>Name</i>	<i>Scope</i>
BIO3d	DE0005227235	Biotest AG	EU Level New suspension
G24d	DE000A12DM80	Scout24 AG	EU Level New suspension
JSTd	DE000JST4000	JOST Werke AG	EU Level New suspension
NETCc	DK0060952919	Netcompany Group A/S	EU Level New suspension
PIHLih	FI4000092556	Pihljalinna Oyj	EU Level New suspension
GBTp	FR0000032526	Guerbet	EU Level New suspension
PHAp	FR0012882389	Pharmagest Inter@ctive	EU Level New suspension
TEDI	GB0001048619	Ted Baker PLC	EU Level New suspension
SDRCI	GB0002395811	Schroders PLC	EU Level New suspension
DLNI	GB0002652740	Derwent London PLC	EU Level New suspension
FGPI	GB0003452173	Firstgroup PLC	EU Level New suspension
NEXI	GB0006215205	National Express Group PLC	EU Level New suspension
VECI	GB00B01D1K48	Vectura Group PLC	EU Level New suspension
PAYI	GB00B02QND93	PayPoint PLC	EU Level New suspension
GRII	GB00B04V1276	Grainger PLC	EU Level New suspension
ISATI	GB00B09LSH68	Inmarsat PLC	EU Level New suspension
MABI	GB00B1FP6H53	Mitchells & Butlers PLC	EU Level New suspension
COSTI	GB00B64NSP76	Costain Group PLC	EU Level New suspension
JLGI	GB00BVC3CB83	John Laing Group PLC	EU Level New suspension
ASCLI	GB00BYM8GJ06	Ascential PLC	EU Level New suspension
SBREI	GB00BYWVDP49	Sabre Insurance Group PLC	EU Level New suspension
IBSTI	GB00BYXJC278	Ibstock PLC	EU Level New suspension
INTERa	NL0010937058	Intertrust NV	EU Level New suspension
QIAd	NL0012169213	QIAGEN NV	EU Level New suspension
ATEAo	NO0004822503	Atea ASA	EU Level New suspension
NOSu	PTZON0AM0006	NOS SGPS SA	EU Level New suspension
SCSTs	SE0005999760	Scandi Standard AB	EU Level New suspension
ORPHAc	DK0060910917	Orphazyme A/S	EU Level Re-suspended

Instruments for which suspension from execution under reference price waiver is to be revoked effective 15 July

<i>UMTF Symbol</i>	<i>ISIN</i>	<i>Name</i>
BOc	DK0010218429	Bang & Olufsen A/S
RAIVh	FI0009002943	Raisio Oyj
VCTp	FR0000031775	Vicat SA
ESIp	FR0004110310	ESI Group
CSRTI	GB0000946276	Consort Medical PLC
SPTI	GB0004726096	Spirent Communications PLC
MERI	GB0005630420	Mears Group PLC
RWAI	GB0008475088	Robert Walters PLC
VTCI	GB0009296665	Vitec Group PLC/The
SDLI	GB0009376368	SDL PLC
HSTNI	GB00B0PPFY88	Hanstee Holdings PLC
LSLI	GB00B1G5HX72	LSL Property Services PLC
ALFAI	GB00BDHXPG30	Alfa Financial Software Holdings PLC
ESPI	GB00BLWDVR75	Empiric Student Property PLC
FFARMa	NL0011832811	ForFarmers NV
KVWa	NL0012294466	Koninklijke Volkerwessels NV
SBANKo	NO0010739402	Sbanken ASA
LIABs	SE0001852419	Lindab International AB
CBKDI	US2017122050	Commercial International Bank Egypt SAE
LNTAI	US52634T2006	Lenta Ltd
PLZLI	US73181M1172	Polyus PJSC