

UBS MTF Trading Notice

Double Volume Cap Update

8 March 2019

Dear Member,

Following the ESMA update published on 7 March, UBS MTF would like to inform you of the implementation of several additional double volume cap suspension changes.

Effective from the start of trading on **Tuesday, 12 March 2019**:

- the **DVC suspension ends for 97 instruments** and therefore these instruments will again be available for trading under the Reference Price Waiver, and
- a **six-month suspension commences for 11 instruments**, which means that they will not be available under the Reference Price Waiver during this period. These capped instruments remain available for trading under the Large in Scale waiver as well as on our Periodic Auction Order Book, and
- an **additional six-month re-suspension, also for 11 instruments**, will also take effect at the same time.

Our daily Stock Universe file, published on our website at <https://www.ubs.com/global/en/investment-bank/ib/multilateral-trading-facility/reference-data.html> and by SFTP, will be updated to reflect the additional suspensions and the resumptions for trading on Tuesday morning. A list of the above suspensions is attached to this notice.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 207 568 2052 or ubs_mtf@ubs.com.

UBS MTF Management

Notice N7 2019

UBS MTF Notices and documentation are available at <https://www.ubs.com/mtf>. If you have any queries regarding this notice, or comments on the above, please contact the UBS MTF Supervisors at +44 20 7568 2052 or ubs_mtf@ubs.com.

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