

UBS MTF Trading Notice

Double Volume Cap Update

18 February 2019

Dear Member,

Following the ESMA update published on 15 February, UBS MTF would like to inform you of the implementation of several additional double volume cap suspensions.

Effective from the start of trading on **Wednesday 20 February 2019**:

- a **six-month suspension commences for 41 instruments**, which means that they will not be available for under the Reference Price Waiver during this period. These capped instruments remain available for trading under the Large in Scale waiver as well as on our Periodic Auction Order Book, and
- an **additional six-month re-suspension for 28 instruments** will also take effect at the same time.

Our daily Stock Universe file, published on our website at <https://www.ubs.com/global/en/investment-bank/ib/multilateral-trading-facility/reference-data.html> and by SFTP, will be updated to reflect the additional suspensions and the resumptions for trading on Wednesday morning. A list of the above suspensions is attached to this notice.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 207 568 2052 or ubs_mtf@ubs.com.

UBS MTF Management

Notice N4 2019

UBS MTF Notices and documentation are available at <https://www.ubs.com/mtf>. If you have any queries regarding this notice, or comments on the above, please contact the UBS MTF Supervisors at +44 20 7568 2052 or ubs_mtf@ubs.com.

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