



## UBS MTF Market Notice

# *Riskless Principal Order and Execution Capacity on UBS MTF*

05 December 2014

Dear Participant,

This notice is to inform you that from Thursday December 11 2014, UBS MTF will accept orders entered with an order capacity of 'Riskless Principal', in addition to the existing possible values of 'Agency' and 'Principal'. Executions on orders using the new 'Riskless Principal' order capacity will also be returned with a 'Riskless Principal' Execution Capacity.

Details of the new message values can be found in the attached update to the FIX Rules of Engagement. These are available for use in our test environment from today.

We would like to remind Members that they are solely responsible for ensuring orders are sent to UBS MTF with the correct Trading Capacity.

If you have any queries regarding this notice please contact the UBS MTF Supervisor at +44 20 7568 2052 or [UBS\\_MTF@ubs.com](mailto:UBS_MTF@ubs.com)

UBS MTF Management

Notice 11, 2014

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