



UBS MTF Trading Notice

Double Volume Cap Update

10 October 2018

Dear Member,

Following the ESMA update published on 8 October UBS MTF would like to inform you of the resumption of trading under the Reference Price Waiver for most instruments that were suspended in April 2018, as well as the implementation of several additional double volume cap suspensions.

Effective from the start of trading on Thursday 11 October 2018, the **DVC suspension ends for 43 instruments** and therefore these instruments will be available for trading under the Reference Price Waiver again.

Also effective on Thursday, UBS MTF will implement a six-month suspension of the Reference Price Waiver for 36 instruments available for trading on UBS MTF's dark order book. We would like to remind our Members that capped instruments remain available for trading under the Large in Scale waiver and on our Periodic Auction Order Book.

Our daily Stock Universe file, published on our website at <https://mtf.ubs.com/referencedata/symbols.csv> and by SFTP, will be updated to reflect the additional suspensions and the resumptions for trading on Thursday morning. A list of the new suspensions and reinstated instruments is attached to this notice.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 207 568 2052 or ubs_mtf@ubs.com.

UBS MTF Management

Notice N22 2018

UBS MTF Notices and documentation are available at <https://www.ubs.com/mtf>. If you have any queries regarding this notice, or comments on the above, please contact the UBS MTF Supervisors at +44 20 7568 2052 or ubs_mtf@ubs.com.

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