



UBS MTF Market Notice

Double Volume Cap Update

11 April 2018

Dear Member,

Following the publication by ESMA of the second double volume cap calculations under the Markets in Financial Instruments Regulation (MiFID), UBS MTF will implement a six-month suspension of the Reference Price Waiver ('RPW') for an additional 57 instruments available for trading on UBS MTF, effective from start of trading, Friday 13 April 2018.

Instruments subject to the RPW suspension will be flagged as 'Capped' in the UBS MTF reference data files published daily by SFTP and at <https://mtf.ubs.com/referencedata/symbols.csv>.

A list of the 57 instruments impacted by the double volume cap is provided below. We would like to remind our Members that capped instruments remain available for trading under the Large in Scale waiver.

Additionally, following the same ESMA update, the suspension of trading under the Reference Price Waiver in the below instrument is lifted immediately:

| Symbol | ISIN | NAME |
|--------|--------------|------------------|
| MNZSI | GB0005790059 | John Menzies PLC |

If you have any queries regarding this notice, or comments on the MiFID II update above, please contact the UBS MTF Supervisors at +44 207 568 2052 or ubs_mtf@ubs.com.

UBS MTF Management

Notice N7, 2018

UBS MTF Notices and documentation are available at <https://www.ubs.com/mtf>. If you have any queries regarding this notice, or comments on the above, please contact the UBS MTF Supervisors at +44 20 7568 2052 or ubs_mtf@ubs.com.

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UBS Limited is a subsidiary of UBS AG.

UBS Limited is a company limited by shares incorporated in the United Kingdom registered in England and Wales with number 2035362.

Registered office: 5 Broadgate, London EC2M 2QS.

| Symbol | ISIN | Name |
|--------|--------------|---|
| UBSv | AT0000815402 | UBM Development AG |
| KBCb | BE0003565737 | KBC Group NV |
| BARb | BE0003790079 | Barco NV |
| KINb | BE0974274061 | Kinopolis Group NV |
| ECONBb | BE0974313455 | Econocom Group SA/NV |
| LONNz | CH0013841017 | Lonza Group AG |
| SPRd | DE0005501357 | Axel Springer SE |
| JEND | DE0006229107 | Jenoptik AG |
| RHMd | DE0007030009 | Rheinmetall AG |
| SKBd | DE0007193500 | Koenig & Bauer AG |
| AOXd | DE000A0LD2U1 | alstria office REIT-AG |
| UPONOh | FI0009002158 | Uponor OYJ |
| SUY1Vh | FI0009010862 | Suominen OYJ |
| CENp | FR0000036675 | Groupe Crit |
| KERp | FR0000121485 | Kering SA |
| Elp | FR0000121667 | Essilor International Cie Generale d'Optique SA |
| AMp | FR0000121725 | Dassault Aviation SA |
| ILDp | FR0004035913 | Iliad SA |
| PARROp | FR0004038263 | Parrot SA |
| ESIp | FR0004110310 | ESI Group |
| TRIp | FR0005691656 | Trigano SA |
| BVIp | FR0006174348 | Bureau Veritas SA |
| CKNI | GB0002018363 | Clarkson PLC |
| RATI | GB0002148343 | Rathbone Brothers PLC |
| DJANI | GB0002502036 | Daejan Holdings PLC |
| FSJI | GB0003395000 | James Fisher & Sons PLC |
| GAWI | GB0003718474 | Games Workshop Group PLC |
| HILSI | GB0004270301 | Hill & Smith Holdings PLC |
| RWII | GB0007995243 | Renewi PLC |
| EIGI | GB00B1L8B624 | EI Group PLC |
| COAI | GB00B4YZN328 | Coats Group PLC |
| CPGI | GB00BD6K4575 | Compass Group PLC |
| IHGI | GB00BD8QVH41 | InterContinental Hotels Group PLC |
| MCROI | GB00BD8YWM01 | Micro Focus International PLC |
| XAFI | GB00BDDN1T20 | Xafinity PLC |
| NGI | GB00BDR05C01 | National Grid PLC |
| CLII | GB00BF044593 | CLS Holdings PLC |
| SCSI | GB00BRF0TJ56 | ScS Group PLC |
| HSTGI | GB00BYRJH519 | Hastings Group Holdings PLC |
| GHGI | GB00BYSS4K11 | Georgia Healthcare Group PLC |
| HSWi | GB00BYYN4225 | Hostelworld Group Plc |
| DANRm | IT0000076486 | Danieli & C Officine Meccaniche SpA |
| CEDm | IT0001472171 | Caltagirone Editore SpA |

| | | |
|--------|--------------|-------------------------|
| PLTm | IT0003826473 | Parmalat SpA |
| BMEDm | IT0004776628 | Banca Mediolanum SpA |
| SNNI | JE00BVRZ8S85 | Sanne Group PLC |
| SENd | LU1377527517 | Senvion SA |
| NSIa | NL0012365084 | NSI NV |
| KOGGo | NO0003043309 | Kongsberg Gruppen ASA |
| AUSSo | NO0010073489 | Austevoll Seafood ASA |
| SRBANo | NO0010631567 | SpareBank 1 SR-Bank ASA |
| BRGo | NO0010657505 | Borregaard ASA |
| XXLo | NO0010716863 | XXL ASA |
| HLDXs | SE0000105199 | Haldex AB |
| OPUSs | SE0001696683 | Opus Group AB |
| REZTs | SE0001857533 | Rezidor Hotel Group AB |
| HOFIs | SE0006887063 | Hoist Finance AB |