

# UBS MTF Trading Notice

## Double Volume Cap Update

13 November 2018

Dear Member,

Following the ESMA update published on 9 November UBS MTF would like to inform you of the resumption of trading under the Reference Price Waiver for most instruments that were suspended in May 2018 as well as the implementation of several additional double volume cap suspensions.

Effective from the start of trading on **Wednesday 14 November 2018**:

- the **DVC suspension ends for 37 instruments** and therefore these instruments will again be available for trading under the Reference Price Waiver again; and
- a **six-month suspension commences for 30 instruments** which means that they will not be available for trading under the Reference Price Waiver during this period. We would like to remind our Members that capped instruments remain available for trading under the Large in Scale waiver in the Pegged Order Book as well as on our Periodic Auction Order Book.

Our daily Stock Universe file, published on our website at <https://mtf.ubs.com/referencedata/symbols.csv> and by SFTP, will be updated to reflect the additional suspensions and the resumptions for trading on Wednesday morning. A list of the new suspensions and reinstated instruments is attached to this notice.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 207 568 2052 or [ubs\\_mtf@ubs.com](mailto:ubs_mtf@ubs.com).

UBS MTF Management

### Notice N27 2018

UBS MTF Notices and documentation are available at <https://www.ubs.com/mtf>. If you have any queries regarding this notice, or comments on the above, please contact the UBS MTF Supervisors at +44 20 7568 2052 or [ubs\\_mtf@ubs.com](mailto:ubs_mtf@ubs.com).

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