



# UBS MTF Trading Notice

## Party IDs and Algo flags Reminder

18 October 2018

Dear Member,

We would like to draw your attention to and remind you of your order record-keeping obligations under MiFIR and the UBS MTF Rulebook (section 6.10). Since the implementation of MiFID II in January 2018, Members have had the obligation to submit **MiFID Party Identifiers**, as well as the requirement to identify orders that are generated by an algorithm.

As part of our monitoring and surveillance, UBS MTF continuously monitors the completeness of data included on orders, including the **algo flags** and MiFIR Party ID tags that are mandatory when submitting an order to our Matching Engines.

Our daily monitoring has highlighted gaps in the data submitted to UBS MTF by some Participants. Please take all necessary actions to ensure that the correct information is submitted on a timely basis to UBS MTF.

For your reference, UBS MTF has published a MiFID Party Identifier Management user guide on the transfer of order data. This Guide is available on our website at <https://www.ubs.com/global/en/investment-bank/multilateral-trading-facility/member-information.html>.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 207 568 2052 or [ubs\\_mtf@ubs.com](mailto:ubs_mtf@ubs.com).

UBS MTF Management

### Notice N25 2018

UBS MTF Notices and documentation are available at <https://www.ubs.com/mtf>. If you have any queries regarding this notice, or comments on the above, please contact the UBS MTF Supervisors at +44 20 7568 2052 or [ubs\\_mtf@ubs.com](mailto:ubs_mtf@ubs.com).

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