



UBS MTF Trading Notice

Change of Trade Reporting Arrangement

18 October 2013

Dear Participant,

This trading notice is to inform you of changes to the reporting destination for trades undertaken on UBS MTF.

In order to fulfill MiFID post-trade transparency requirements, trades executed on UBS MTF are currently reported to the Markit BOAT "TDM" (Trade Data Monitor) service.

From Monday 28 October, all trades will be reported to the BATS Trade Reporting Service which has received approval from the Financial Conduct Authority to operate a TDM service (www.fca.org.uk/static/documents/fca-tdm-guidelines.pdf).

All trades will be flagged with the UBS MTF MIC "XUBS". The Bloomberg ticker for the BATS Trade Reporting service is "XV".

If you have any queries regarding this notice please contact the UBS MTF Supervisor at +44 20 7568 2052 or UBS_MTF@ubs.com

UBS MTF Management

Notice 6, 2013

UBS Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.

UBS Limited is a subsidiary of UBS AG

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