



UBS MTF Trading Notice

Rules of Engagement Update - Tag 1

15 February 2016

Dear Member,

UBS MTF would like to announce an update to our current FIX Rules of Engagement.

From Tuesday 15th March 2016, UBS MTF will support Tag 1 (Account) on New Order Single and Order Cancel Replace messages. This additional tag is optional and this change will not impact Participants who choose not to use Tag 1.

When populated by a Member, UBS MTF will not validate the value in Tag 1. If the value in Tag 1 is not set on an Order Cancel Replace request, the original value sent with the order is preserved.

This change is now available in UBS MTF Test environment and we would like to invite Members that plan to use this additional tag to test before its implementation in Production on 15th March 2016. An updated FIX Rules of Engagement is attached with this Trading Notice.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 20 7568 2052 or ubs_mtf@ubs.com.

UBS MTF Management

Notice 1, 2016

UBS Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.

UBS Limited is a subsidiary of UBS AG.

UBS Limited is a company limited by shares incorporated in the United Kingdom registered in England and Wales with number 2035362.

Registered office: 1 Finsbury Avenue, London EC2M 2PP.