



UBS MTF Trading Notice

Rulebook and Membership Criteria Changes

19 June 2015

Dear Participant,

In accordance with Rule 4.2 of the UBS MTF Rulebook we are taking the opportunity to notify you of a future change to the Rules.

The change refers to section 2.2 Eligibility which sets out the eligibility criteria for new and existing Members of UBS MTF:

- From 1 September 2015, eligibility criteria 2.2(b)(i)(B) will be removed;
- All new Members after this date will therefore need to be EEA regulated investment firms or credit institutions as the current alternative of being a member of another EEA Regulated Market will be withdrawn;
- Any existing Members as at 1 September 2015 that do not meet the new criteria will have until 3 January 2017 to meet the revised criteria.

A further Trading Notice with the updated Rulebook will be circulated in advance of this change to remind Members of this change.

If you have any queries regarding this notice please contact the UBS MTF Supervisors at +44 20 7568 2052 or ubs_mtf@ubs.com.

Notice 8, 2015

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