

**UBS SECURITIES LLC**

<b>As of Date</b>	<b>Tentative Net Capital</b>	<b>Adjusted Net Capital</b>	<b>Excess Net Capital</b>
<b>September 30, 2018</b>	\$6,352,483,674	\$5,317,898,488	\$4,702,478,734
<b>August 31, 2018</b>	\$7,021,156,090	\$6,108,177,155	\$5,532,542,917
<b>July 31, 2018</b>	\$6,875,192,849	\$5,830,862,262	\$5,255,509,112
<b>June 30, 2018</b>	\$6,688,159,229	\$5,646,245,647	\$5,094,880,500
<b>May 31, 2018</b>	\$6,494,816,000	\$5,543,794,278	\$4,959,632,198
<b>April 30, 2018</b>	\$6,639,572,635	\$5,758,727,293	\$5,183,488,907
<b>March 31, 2018</b>	\$6,670,525,896	\$5,653,330,434	\$5,043,639,678
<b>February 28, 2018</b>	\$6,578,699,395	\$5,609,604,805	\$4,869,569,674
<b>January 31, 2018</b>	\$6,450,931,065	\$5,531,150,291	\$4,822,191,448
<b>December 31, 2017</b>	\$6,715,258,413	\$5,840,759,157	\$5,160,882,941
<b>November 30, 2017</b>	\$6,726,652,605	\$5,796,082,792	\$5,021,522,932
<b>October 31, 2017</b>	\$6,628,394,513	\$5,655,931,194	\$4,914,389,397