

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:	UBS SECURITIES LLC	as of: 07/31/2013
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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance		
	A. Cash	\$ 3,732,812,389	7010
	B. Securities (at market)	5,223,112,219	7020
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	(30,697,592)	7030
3.	Exchange traded options		
	A. Add market value of open option contracts purchased on a contract market	1,445,706,331	7032
	B. Deduct market value of open option contracts granted (sold) on a contract market	(1,317,359,891)	7033
4.	Net equity (deficit) (add lines 1, 2 and 3)	9,053,573,456	7040
5.	Accounts liquidating to a deficit and accounts with debit balances		
	- gross amount	43,357,721	7045
	Less: amount offset by customer securities	(43,220,829)	7047
6.	Amount required to be segregated (add lines 4 and 5)	136,892	7050
		\$ 9,053,710,348	7060

FUNDS IN SEGREGATED ACCOUNTS

7.	Deposited in segregated funds bank accounts		
	A. Cash	11,564,541	7070
	B. Securities representing investments of customers' funds (at market)	1,086,999,592	7080
	C. Securities held for particular customers or option customers in lieu of cash (at market)	1,578,439,516	7090
8.	Margins on deposit with derivatives clearing organizations of contract markets		
	A. Cash	1,174,485,346	7100
	B. Securities representing investments of customers' funds (at market)	2,052,988,615	7110
	C. Securities held for particular customers or option customers in lieu of cash (at market)	3,644,672,703	7120
9.	Net settlement from (to) derivatives clearing organizations of contract markets	44,364,426	7130
10.	Exchange traded options		
	A. Value of open long option contracts	1,445,706,331	7132
	B. Value of open short option contracts	(1,317,359,891)	7133
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	7140
	B. Securities representing investments of customers' funds (at market)	0	7160
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7170
12.	Segregated funds on hand (describe:)	0	7150
13.	Total amount in segregation (add lines 7 through 12)	9,721,861,179	7180
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 668,150,831	7190

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1.	Amount required to be segregated in accordance with Commission regulation 32.6		\$ 0	7200
2.	Funds in segregated accounts			
	A. Cash	\$ 0		7210
	B. Securities (at market)	0		7220
	C. Total		0	7230
3.	Excess (deficiency) funds in segregation (subtract line 1. from line 2.C.)		\$ 0	7240

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BROKER OR DEALER: UBS SECURITIES LLC

as of: 07/31/2013

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
 FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS
 PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS - SUMMARY

I. Check the appropriate box to identify the amount shown on line 1. below:

- 7300 Secured amounts in only U.S.-domiciled customers' accounts
- 7310 Secured amounts in U.S. and foreign-domiciled customers' accounts
- 7320 Net liquidating equities in all accounts of customers trading on foreign boards of trade
- 7330 Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

II. Has the FCM changed the method of calculating the amount to be set aside in separate accounts since the last financial report it filed?

- Yes 7340 If Yes, explain the change below.
- No 7350

1. Amount to be set aside in separate section 30.7 accounts	\$ 4,247,329,686	<input type="checkbox"/> 7360
2. Total funds in separate section 30.7 accounts (page T10-4, line 8)	4,662,658,778	<input type="checkbox"/> 7370
3. Excess (deficiency) - (subtract line 1 from line 2)	\$ 415,329,092	<input type="checkbox"/> 7380

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
 FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS
 PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States		\$ 34,073,472	7500
B. Other banks designated by the Commission			
Name(s): <u>See Attached</u>	7510	0	7520
		\$ 34,073,472	7530
2. Securities			
A. In safekeeping with banks located in the United States		\$ 1,049,745,865	7540
B. In safekeeping with other banks designated by the Commission			
Name(s): <u>See Attached</u>	7550	0	7560
		1,049,745,865	7570
3. Equities with registered futures commission merchants			
A. Cash		\$ 0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts		0	7615
		0	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s): _	7630		
A. Cash		\$ 0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organization - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts		0	7675
		0	7680
5. Amounts held by members of foreign boards of trade			
Name(s): <u>See Attached</u>	7690		
A. Cash		\$ 1,532,820,261	7700
B. Securities		1,202,486,151	7710
C. Unrealized gain (loss) on open futures contracts		751,747,678	7720
D. Value of long option contracts		94,151,961	7730
E. Value of short option contracts		(2,366,610)	7735
		3,578,839,441	7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s): _	7750		0
			7760
7. Segregated funds on hand (describe): _			
			0
			7765
8. Total funds in separate section 30.7 accounts (to page T10-3, line 2)			
		<u>\$ 4,662,658,778</u>	<u>7770</u>

A. If any securities shown above are other than the types of securities referred to in CFTC Regulation 1.25, attach a separate schedule detailing the obligations shown on each such line.

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance				
A. Cash			\$(255,024,684)	8500
B. Securities (at market)			380,294,229	8510
2. Net unrealized profit (loss) in open cleared swaps			317,893,892	8520
3. Cleared swaps options				
A. Market value of open cleared swaps option contracts purchased			723,468	8530
B. Market value of open cleared swaps option contracts granted (sold)			0	8540
4. Net equity (deficit) (add lines 1, 2, and 3)			\$443,886,905	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$5,899,233	8560		
Less: amount offset by customer owned securities	(5,832,929)	8570	66,304	8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			\$443,953,209	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks				
A. Cash			\$1,413,439	8600
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			225,781,237	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts				
A. Cash			54,536,739	8630
B. Securities representing investments of cleared swaps customers' funds (at market)			214,519,216	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			154,512,992	8650
9. Net settlement from (to) derivatives clearing organizations			5,638,553	8660
10. Cleared swaps options				
A. Value of open cleared swaps long option contracts			723,468	8670
B. Value of open cleared swaps short option contracts			0	8680
11. Net equities with other FCMs				
A. Net liquidating equity			0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)			0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			0	8710
12. Cleared swaps customer funds on hand (describe:)			0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)			\$657,125,644	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)			\$213,172,435	8730
15. The aggregate performance bond requirement for all customer and house accounts containing CME-cleared IRS and CDS positions. (Applicable for FCMs and broker-dealers which clear CME-cleared IRS and/or CDS products for customer or house accounts)			\$ 0	8750