

**SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II**

<b>BROKER OR DEALER:</b>	UBS SECURITIES LLC	as of: 08/31/2013
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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

**SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)**

1.	Net ledger balance		
	A. Cash	\$ 3,757,144,615	7010
	B. Securities (at market)	5,046,878,834	7020
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	(280,934,515)	7030
3.	Exchange traded options		
	A. Add market value of open option contracts purchased on a contract market	1,420,650,439	7032
	B. Deduct market value of open option contracts granted (sold) on a contract market	(1,360,314,673)	7033
4.	Net equity (deficit) (add lines 1, 2 and 3)	8,583,424,700	7040
5.	Accounts liquidating to a deficit and accounts with debit balances		
	- gross amount	135,385,389	7045
	Less: amount offset by customer securities	(135,144,689)	7047
		240,700	7050
6.	Amount required to be segregated (add lines 4 and 5)	\$ 8,583,665,400	7060

**FUNDS IN SEGREGATED ACCOUNTS**

7.	Deposited in segregated funds bank accounts		
	A. Cash	57,776,875	7070
	B. Securities representing investments of customers' funds (at market)	970,990,369	7080
	C. Securities held for particular customers or option customers in lieu of cash (at market)	1,441,021,100	7090
8.	Margins on deposit with derivatives clearing organizations of contract markets		
	A. Cash	1,316,615,591	7100
	B. Securities representing investments of customers' funds (at market)	1,798,973,162	7110
	C. Securities held for particular customers or option customers in lieu of cash (at market)	3,605,857,734	7120
9.	Net settlement from (to) derivatives clearing organizations of contract markets	(21,021,293)	7130
10.	Exchange traded options		
	A. Value of open long option contracts	1,420,650,439	7132
	B. Value of open short option contracts	(1,360,314,673)	7133
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	7140
	B. Securities representing investments of customers' funds (at market)	0	7160
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7170
12.	Segregated funds on hand (describe: )	0	7150
13.	Total amount in segregation (add lines 7 through 12)	9,230,549,304	7180
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 646,883,904	7190

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1.	Amount required to be segregated in accordance with Commission regulation 32.6		\$ 0	7200
2.	Funds in segregated accounts			
	A. Cash	\$ 0		7210
	B. Securities (at market)	0		7220
	C. Total		0	7230
3.	Excess (deficiency) funds in segregation (subtract line 1. from line 2.C.)		\$ 0	7240

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BROKER OR DEALER: UBS SECURITIES LLC

as of: 08/31/2013

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
 FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS  
 PURSUANT TO COMMISSION REGULATION 30.7

**FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS - SUMMARY**

I. Check the appropriate box to identify the amount shown on line 1. below:

- 7300 Secured amounts in only U.S.-domiciled customers' accounts
- 7310 Secured amounts in U.S. and foreign-domiciled customers' accounts
- 7320 Net liquidating equities in all accounts of customers trading on foreign boards of trade
- 7330 Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

II. Has the FCM changed the method of calculating the amount to be set aside in separate accounts since the last financial report it filed?

- Yes  7340 If Yes, explain the change below.
- No  7350

1. Amount to be set aside in separate section 30.7 accounts	\$ 4,240,074,287	<input type="checkbox"/> 7360
2. Total funds in separate section 30.7 accounts (page T10-4, line 8)	4,655,251,291	<input type="checkbox"/> 7370
3. Excess (deficiency) - (subtract line 1 from line 2)	\$ 415,177,004	<input type="checkbox"/> 7380

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
 FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS  
 PURSUANT TO COMMISSION REGULATION 30.7**

**FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**

1. Cash in banks			
A. Banks located in the United States		\$ 15,866,930	7500
B. Other banks designated by the Commission			
Name(s): <u>See Attached</u>	7510	0	7520
		\$ 15,866,930	7530
2. Securities			
A. In safekeeping with banks located in the United States		\$ 1,224,082,441	7540
B. In safekeeping with other banks designated by the Commission			
Name(s): <u>See Attached</u>	7550	0	7560
		1,224,082,441	7570
3. Equities with registered futures commission merchants			
A. Cash		\$ 0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts		0	7615
		0	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s): _	7630		
A. Cash		\$ 0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organization - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts		0	7675
		0	7680
5. Amounts held by members of foreign boards of trade			
Name(s): <u>See Attached</u>	7690		
A. Cash		\$ 2,355,896,756	7700
B. Securities		1,400,436,121	7710
C. Unrealized gain (loss) on open futures contracts		(423,243,965)	7720
D. Value of long option contracts		84,251,326	7730
E. Value of short option contracts		(2,038,318)	7735
		3,415,301,920	7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s): _	7750		0
			7760
7. Segregated funds on hand (describe): _			
			0
			7765
8. Total funds in separate section 30.7 accounts (to page T10-3, line 2)			
		<b>\$ 4,655,251,291</b>	<b>7770</b>

A. If any securities shown above are other than the types of securities referred to in CFTC Regulation 1.25, attach a separate schedule detailing the obligations shown on each such line.

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1.	Net ledger balance		
	A. Cash	\$(272,114,970)	8500
	B. Securities (at market)	405,395,924	8510
2.	Net unrealized profit (loss) in open cleared swaps	308,537,061	8520
3.	Cleared swaps options		
	A. Market value of open cleared swaps option contracts purchased	503,922	8530
	B. Market value of open cleared swaps option contracts granted (sold)	0	8540
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$442,321,937	8550
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$7,542,007	8560
	Less: amount offset by customer owned securities	(7,537,254)	8570
		4,753	8580
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	\$442,326,690	8590

Funds in Cleared Swaps Customer Segregated Accounts

7.	Deposited in cleared swaps customer segregated accounts at banks		
	A. Cash	\$4,515,441	8600
	B. Securities representing investments of cleared swaps customers' funds (at market)	20,000,000	8610
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	200,856,534	8620
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts		
	A. Cash	34,185,307	8630
	B. Securities representing investments of cleared swaps customers' funds (at market)	199,992,500	8640
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	204,539,390	8650
9.	Net settlement from (to) derivatives clearing organizations	(6,165,439)	8660
10.	Cleared swaps options		
	A. Value of open cleared swaps long option contracts	503,922	8670
	B. Value of open cleared swaps short option contracts	0	8680
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	8690
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710
12.	Cleared swaps customer funds on hand (describe: )	0	8715
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	\$658,427,655	8720
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)	\$216,100,965	8730
15.	The aggregate performance bond requirement for all customer and house accounts containing CME-cleared IRS and CDS positions. (Applicable for FCMs and broker-dealers which clear CME-cleared IRS and/or CDS products for customer or house accounts)	\$ 0	8750