

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:	UBS SECURITIES LLC	as of: 01/31/2014
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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance		
	A. Cash	\$ 5,441,689,530	7010
	B. Securities (at market)	3,442,282,237	7020
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	(1,141,514,843)	7030
3.	Exchange traded options		
	A. Add market value of open option contracts purchased on a contract market	1,446,596,258	7032
	B. Deduct market value of open option contracts granted (sold) on a contract market	(1,462,581,785)	7033
4.	Net equity (deficit) (add lines 1, 2 and 3)	7,726,471,397	7040
5.	Accounts liquidating to a deficit and accounts with debit balances		
	- gross amount	23,495,163	7045
	Less: amount offset by customer securities	(23,291,020)	7047
		204,143	7050
6.	Amount required to be segregated (add lines 4 and 5)	\$ 7,726,675,540	7060

FUNDS IN SEGREGATED ACCOUNTS

7.	Deposited in segregated funds bank accounts		
	A. Cash	41,581,286	7070
	B. Securities representing investments of customers' funds (at market)	750,000,001	7080
	C. Securities held for particular customers or option customers in lieu of cash (at market)	1,064,211,226	7090
8.	Margins on deposit with derivatives clearing organizations of contract markets		
	A. Cash	3,417,297,243	7100
	B. Securities representing investments of customers' funds (at market)	851,870,507	7110
	C. Securities held for particular customers or option customers in lieu of cash (at market)	2,378,071,011	7120
9.	Net settlement from (to) derivatives clearing organizations of contract markets	(136,919,439)	7130
10.	Exchange traded options		
	A. Value of open long option contracts	1,446,596,258	7132
	B. Value of open short option contracts	(1,462,581,785)	7133
11.	Net equities with other FCMs		
	A. Net liquidating equity	0	7140
	B. Securities representing investments of customers' funds (at market)	0	7160
	C. Securities held for particular customers or option customers in lieu of cash (at market)	0	7170
12.	Segregated funds on hand (describe:)	0	7150
13.	Total amount in segregation (add lines 7 through 12)	8,350,126,308	7180
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 623,450,768	7190
15.	Management Target Amount for Excess funds in segregation	540,867,288	7194
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	82,583,480	7198

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1.	Amount required to be segregated in accordance with Commission regulation 32.6		\$ 0	7200
2.	Funds in segregated accounts			
	A. Cash	\$ 0		7210
	B. Securities (at market)	0		7220
	C. Total		0	7230
3.	Excess (deficiency) funds in segregation (subtract line 1. from line 2.C.)		\$ 0	7240

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 3,775,553,463	7305
1.	Net ledger balance - Foreign Futures and Foreign Options Trading - All Customers		
	A. Cash	\$ 1,431,253,956	7315
	B. Securities (at market)	\$ 2,030,429,296	7317
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 250,802,512	7325
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 61,670,162	7335
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(1,062)	7337
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 3,774,154,864	7345
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 18,925,682	7351
	Less: amount offset by customer owned securities	\$(17,527,082)	7352
		\$ 1,398,600	7354
6.	Amount to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 3,775,553,464	7355
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$ 3,775,553,464	7360

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks					
A. Banks located in the United States	\$ 16,108,901	7500			
B. Other banks qualified under Regulation 30.7					
Name(s): <u>See Attached</u>		7510	0	7520	\$ 16,108,901
					7530
2. Securities					
A. In safekeeping with banks located in the United States	\$ 1,021,230,893	7540			
B. In safekeeping with other banks qualified under Regulation 30.7					
Name(s): <u>See Attached</u>		7550	0	7560	1,021,230,893
					7570
3. Equities with registered futures commission merchants					
A. Cash	\$ 0	7580			
B. Securities	0	7590			
C. Unrealized gain (loss) on open futures contracts	0	7600			
D. Value of long option contracts	0	7610			
E. Value of short option contracts	0	7615	0	7620	
4. Amounts held by clearing organizations of foreign boards of trade					
Name(s): <u>See Attached</u>		7630			
A. Cash	\$ 848,687	7640			
B. Securities	0	7650			
C. Amount due to (from) clearing organization - daily variation	68,834	7660			
D. Value of long option contracts	301	7670			
E. Value of short option contracts	0	7675	917,822	7680	
5. Amounts held by members of foreign boards of trade					
Name(s): <u>See Attached</u>		7690			
A. Cash	\$ 1,823,128,690	7700			
B. Securities	1,009,198,403	7710			
C. Unrealized gain (loss) on open futures contracts	249,867,927	7720			
D. Value of long option contracts	61,669,861	7730			
E. Value of short option contracts	(1,062)	7735	3,143,863,819	7740	
6. Amounts with other depositories designated by a foreign board of trade					
Name(s): _		7750	0	7760	
7. Segregated funds on hand (describe): _					
			0	7765	
8. Total funds in separate section 30.7 accounts					
			\$ 4,182,121,435	7770	
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)					
			406,567,971	7380	
10. Management Target Amount for Excess funds in separate section 30.7 accounts					
			400,000,000	7780	
11. Excess (deficiency) funds in separate section 30.7 accounts over (under) Management Target Amount					
			6,567,971	7785	

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as of: 01/31/2014

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance				
A. Cash		\$ 212,612,660		8500
B. Securities (at market)		216,562,387		8510
2. Net unrealized profit (loss) in open cleared swaps		180,573,728		8520
3. Cleared swaps options				
A. Market value of open cleared swaps option contracts purchased		0		8530
B. Market value of open cleared swaps granted (sold)		0		8540
4. Net equity (deficit) (add lines 1, 2 and 3)		609,748,775		8550
5. Accounts liquidating to a deficit and accounts with debit balances				
- gross amount	\$ 4,725,589			8560
Less: amount offset by customer securities	(4,716,982)			8570
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		8,607		8580
		609,757,382		8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks				
A. Cash		\$ 32,476,697		8600
B. Securities representing investments of cleared swaps customers' funds (at market)		40,000,000		8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		216,562,387		8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts				
A. Cash		533,281,167		8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0		8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0		8650
9. Net settlement from (to) derivatives clearing organizations		6,408,975		8660
10. Cleared swaps options				
A. Value of open cleared swaps long option contracts		0		8670
B. Value of open cleared swaps short option contracts		0		8680
11. Net equities with other FCMs				
A. Net liquidating equity		0		8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0		8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0		8710
12. Cleared swaps customer funds on hand (describe:)		0		8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		828,729,226		8720
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 218,971,844		8730
15. Management target Amount for Excess funds in cleared swaps segregated accounts		\$ 200,000,000		8760
16. Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess		\$ 18,971,844		8770