



Agenda

Premier Hedge Fund Conference

Wednesday, April 19th – 21st
Four Seasons, Scottsdale

• Indicates CPE credit offered

Wednesday, April 19th

6:30 a.m. and 12:35 p.m.	Golf	Tron North Golf Club
6:30 p.m.	Welcome Reception Welcome remarks by Jason Barron, UBS Group Managing Director, Global Head of Financing Services	Ironwood Patio
7:30 p.m.	Dinner and Keynote Speaker Michael Phelps , Former American competitive swimmer and Olympian Interviewed by Rob Karofsky, UBS Group Managing Director, Global Head of Equities	Ironwood Terrace

Thursday, April 20th

7:00 a.m.	Registration and Breakfast	Fountain Terrace
8:00 a.m.	Welcome Address and Opening Remarks Reinhardt Olsen, UBS Managing Director, Global Head of Prime Brokerage	Pinnacle Ballroom
8:20 a.m. •	Big data and the future of fundamental investing <ul style="list-style-type: none"> • Taylor O'Malley, Founding Partner and Chief Risk Officer, Balyasny Asset Management • Barry Hurewitz, UBS Managing Director, Global COO of UBS Group Research • Tamera White, UBS Managing Director, Global Head of Distribution for Quant Solutions • Moderated by Chris Hagstrom, UBS Managing Director, Co-Head of Americas Equities Distribution 	Pinnacle Ballroom

A. A New Era for Cyber Security

This session will discuss how the convergence of emerging cyber threats, the evolution of new cyber technology products, and changing global policies impacts on your business.

- Randy Miskanic, UBS Executive Director, Americas Regional Head, Group Information Security Office
- Rob Knake, Council on Foreign Relations, Former Director for Cybersecurity Policy at the National Security Council

B. Culture Eats Strategy for Breakfast

- Annie Coleman, UBS Managing Director, Global Head of Culture and Client Marketing
- Reinhardt Olsen, UBS Managing Director, Global Head of Prime Brokerage

C. Re-thinking the Hedge Fund Fee Model and Alignment of Interests

- Adrian Sales, Albourne Americas, Global Head of Due Diligence
- Joel Hinkhouse, Senior Investment Manager, Teachers Retirement System of Texas
- Michael Sales, UBS Managing Director, Global Head of Capital and Consulting Services

D. Business Benchmarking

We have partnered with McLagan to run a custom benchmarking study covering headcount and business and fund related expenses. Prior to the conference, participants will need to fill out a short questionnaire which should take approximately 15 minutes to complete. In this session, firms will be divided into peer groups by size and strategy and we will cover the results for the peer group as well as industry wide.

- Shelley Eisenhandler, Partner, McLagan

E. FinTech Hype Cycles

Discuss the latest in FinTech trends (including AI, Blockchain, VR/AR, Cloud, Behavioral Finance, Predictive Analytics, and Virtual Assistants) and how these new technologies have the potential to revolutionize the industry.

- Scott Ross, UBS Executive Director, Head of IT Research & Development
- Rob Perry, UBS Director, Head of Technology Business Development

F. MiFID II and the Impact on US Managers

- Michael Watson, Carlson Capital, Treasurer
- Mitch Schacher, UBS Executive Director, Head of US Corporate Access
- Zoe Evans, UBS Managing Director, Equities Operating Officer - Financing Services

- G. **2017 Outlook for Regulatory Enforcement and Exams**
 This session will cover real examples of issues that have come up in regulatory examinations, how they were dealt with, and lessons others can learn to avoid similar issues as well as the outlook under a new Administration.
- Craig Warkol, Partner, Schulte Roth & Zabel LLP
 - John Broadhurst, Partner, Shartsis Friese LLP
 - Michael Barnes, Principal, Financial Services Regulatory Practice, Ernst & Young
- H. **Tax and Audit update**
 This session will cover the key themes and current issues in tax and audit.
- Maria Lamari-Burden, Partner, PricewaterhouseCoopers LLP
 - Stan Willis, Partner, Ernst & Young LLP
- I. **Reality vs Myth: Regulatory outlook for Prime Brokerage**
 Despite the Trump administration's rhetoric, regulatory change facing Prime Brokers has never been higher. This session will focus on some of the more material challenges facing Prime Brokers, and the implications for their clients.
- Vijay Sundaram, UBS Managing Director, Head of Equities Funding and GFS Risk
 - Kevin Gray, UBS Executive Director, Global Head of Financial Resource Management for Equities
- J. **Investing in Your Fund through 401k or IRA**
 This session will cover solutions for managers to optimize their 401k plan design, including the ability to offer their 3c7 private funds as an investment option for all employees, as well as strategies to maximize tax deferred savings for highly compensated employees beyond the 401k maximums and investing in hedge funds through IRAs.
- Doug Massey, UBS Senior Vice President, Wealth Management Americas
 - Matt Lasko, UBS Senior Vice President, Wealth Management Americas

10:05 a.m.	Break	Troon Foyer
10:20 a.m. •	Breakout 2 Choose from options above	Various, please refer to the back of your name badge for room assignments
11:15 a.m. •	Breakout 3 Choose from options above	
12:05 p.m. – Lunch Served	Lunch	Pinnacle Ballroom
12:30 p.m. – Presentation	Chris Voss , Former FBI Lead International Kidnapping Negotiator	
1:40 p.m. •	Tom Hardin, CEO Tipper X Advisors LLC Known as “Tipper X,” Tom became one of the most prolific informants in securities fraud history, helping to build 24 of the 80+ individual cases in “Operation Perfect Hedge,” a Wall Street house cleaning campaign that morphed into the largest insider trading investigation of a generation.	Pinnacle Ballroom
2:30 p.m.	Break	Pinnacle Foyer



3:15 p.m. •	A Discussion on Washington and the World <ul style="list-style-type: none">• Senator George Mitchell• Admiral Jonathan Greenert, Former Chief of Naval Operations and member of the Joint Chiefs of Staff• Moderated by John Savercool, UBS Managing Director, Head of UBS's US Office of Public Policy	Pinnacle Ballroom
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6:00 p.m.	Cocktail Reception	Fountain Terrace
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7:00 p.m.	Dinner	Fountain Terrace
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Friday, April 21st

8:00 a.m.	Breakfast	Ironwood Terrace
	Closing Remarks Chris Hagstrom, UBS Managing Director, Co-Head of Americas Equities Distribution	

9:00 a.m.	Group Activity	Ironwood Terrace
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11:00 a.m.	Conclude	
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11:30 a.m.	Golf	The Boulders
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11:30 a.m.	Range Shooting	Scottsdale Gun Club
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12:00 p.m.	Wine Tasting	Troon Lawn
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