

Self-Declaration for External Directorships and Relatives & Relationships

External Staff

To be completed by all external staff supporting UBS Group Legal Entities (staff Category 1 only). Fields marked with an asterisk (*) are mandatory.

Supplier Name* _____

Candidate's Name:

Legal First Name (as stated on passport/ID)* _____

Middle Name (as stated on passport/ID) Legal _____

Last Name (as stated on passport/ID)* _____

Candidate's Contact Details:

Mobile Phone Number (i.e. +1 555-555-5555) _____

Home Phone Number (i.e. +1 555-555-5555) _____

Personal Email Address _____

1. To the best of your knowledge, do you have relatives or personal relationship with UBS employees at UBS Group?*

Yes No

Relatives include, but is not limited to spouse, domestic partner or civil partner (or similar relationship recognized under law), parent, sister, brother, child (including a step-child), or equivalent in-laws, uncle, aunt, niece, nephew, or any other close familial relationships not listed here.

Personal relationship refers to a relationship not primarily professional or business-related, including romantic relationships, a member of an employee's household not defined as a family relationship (e.g. a person who resides in the same household as the employee and who the employee financially supports), an individual over whose accounts the employee has control, close friend, or close family friendship.

2. Do you have an external directorship, position, or outside business activity (e.g. member of board of directors, manager, partner, as member of a committee or of a foundation board, positions in executive, legislative, judicial and public parties, political/governmental role) excluding directorships with your employer?*

Yes No

Declaration

I hereby acknowledge that the information provided herein is true, and I am voluntarily providing all the information requested. I acknowledge that UBS AG and/or any of its branches, representative offices, subsidiaries and affiliates (each known as **"UBS"** and collectively the **"UBS Group"** and/or UBS Group's contractors, agents, third party service providers and/or their sub-contractors (**"UBS Recipients"**), can collect, use and process my above information and/or additional information I provide to the UBS Group and/or UBS Recipients for

- (a) validation that such information is true, complete and accurate;
- (b) assessing my suitability for provision of services to the UBS Group;
- (c) the UBS Group's administration and management of their business including resource planning; and
- (d) the compliance with applicable procedures, laws and regulations and requirements of regulatory or governmental authorities located inside or outside of the jurisdiction of my work location including avoidance of existing or potential conflicts of interest

I acknowledge that my information may be retained by the UBS Group and/or UBS Recipients for a period of 12 months.

I acknowledge that if I choose not to provide the requested information or provide any false or misleading information, UBS Group may terminate the engagement of my services or request my employer to replace me (as the case may be).

I acknowledge that I have the right to request access to information relating to me held by the UBS Group, as well as the right to request corrections of such information, subject to the applicable data protection laws in the jurisdiction of my work location. To exercise these rights, I may contact the relevant Staff Vetting Team:

- EMEA: sh-hr-externalstaffvetting-emea@ubs.com
- APAC: sh-hr-externalstaffvetting-apac@ubs.com
- AMERICAS: sh-hr-externalstaffvetting-america@ubs.com
- UK: sh-hr-externalstaffvetting-uk@ubs.com
- CH: sh-hr-staff-vetting-ch-psc@ubs.com

For further information for candidate within the EU, Monaco and Jersey on how we process your data, the rights you have and how to exercise your rights, please visit the [Vendor Employee Privacy Notice](#).

Please validate the document and then sign.
Fields marked with an asterisk (*) are mandatory.

Candidate's Legal Name (Last, First)*

Place of signature* (e.g. Zurich)	Date of signature* (dd-mm-yyyy)	Signature* (Signatures accepted: digital image / photo of signature, tablet / e-pen / scanned with physical signature/ e-signature. Font typed or mouse drawn signatures not accepted.)
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