

Stock Broking – Private Banking Investor Complaints (Annexure-B)

Data for Month Ending: April 2024

SN	Received from	Carried forward from previous Month	Received during the Month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time ^ (in days)
						Pending for less than 3 Months	Pending for more than 3 Months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchange	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Total	0	0	0	0	0	0	0

^Average resolution time is the sum of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of Monthly Disposal of Complaints

SN	Month	Carried forward from Previous Month	Received	Resolved *	Pending **
1	April 2024	0	0	0	0
2	May 2024				
3	June 2024				
4	July 2024				
5	Aug 2024				
6	Sep 2024				
7	Oct 2024				
8	Nov 2024				
9	Dec 2024				
10	Jan 2025				
11	Feb 2025				
12	Mar 2025				
	Grand Total	0	0	0	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

Trend of Yearly Disposal of Complaints

SN	Year	Carried forward from Previous Year	Received during the Year	Resolved during the Year	Pending at the end of Year
1	2021-22	0	2	2	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
4	2024-25	0			
	Grand Total	0	2	2	0