

Safekeeping, securities transactions and ancillary services

Safekeeping account¹

	Safekeeping account value in CHF (or equivalent value in foreign currency)	Fee in % p.a.
Basic fee ²	Up to 5 million From 5 up to 20 million Over 20 million	0.35 0.30 0.20
Minimum per position/security	CHF 5 per month	
This tariff applies to marketable securities as well as to precious metals and coins in safekeeping.		
<ul style="list-style-type: none"> ▪ UBS shares and medium-term notes of Credit Suisse ▪ Warrants issued by Credit Suisse with shares of banks of UBS Group as their underlying ▪ Credit Suisse Wealth Management funds 	Basic fee waived, no minimum per position	
<ul style="list-style-type: none"> ▪ Assets in discretionary mandates ▪ Credit Suisse branded Public Funds²³ ▪ Third-party funds with distribution agreement⁹ 	Reduction of 25% on the basic fee and the additional charge for foreign securities deposited with third parties	
<ul style="list-style-type: none"> ▪ Private equity and hedge fund products 	No minimum per position	
<ul style="list-style-type: none"> ▪ Traded options⁴ and futures ▪ Rights ▪ Documents, e.g. mortgage notes, savings books, etc. 	0.60% p.a. CHF 5 per month	
Foreign securities deposited with third parties	Additional charge of 0.15% p.a.	
Credit Suisse reserves the right to pass on to clients any charges from third-party custodians/depositories in respect of special assets in safekeeping, for example, American or Global Depository Receipts, exotic securities, items of sentimental value, etc. The bank can apply higher fees for safekeeping accounts requiring additional efforts (e.g. beneficiary, blocked and guarantee safekeeping accounts, etc., or safekeeping accounts involving higher administrative costs).		

Precious metals account

All metals	0.80% p.a.
Minimum fee	CHF 100 p.a.

Periodic dispatch of correspondence^{1, 5}

Periodic dispatch with RET Online access	CHF 1,500 p.a.
Periodic dispatch without RET Online access	CHF 3,000 p.a.

Your Relationship Manager will be pleased to inform you at any time about the different dispatch options - from weekly to annually or automatically.

Numbered relationship

Fee	CHF 3,000 p.a.
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- 1 Plus value-added tax (VAT) at the standard rate applicable for clients domiciled in Switzerland and the Principality of Liechtenstein. Fees are calculated monthly in CHF on the basis of the safekeeping account value, including accrued interest, and levied in the reference currency – generally at the end of a quarter.
- 2 The basic fee is calculated according to a staggered tariff so the rate applicable for a specific component of the safekeeping account value, as defined according to the tariff, is applied in each case. An individual fee is charged for services which are unusual, rare or require additional processing.
- 3 Please ask your Relationship Manager for further information.
- 4 Applicable to short and long positions.
- 5 The fees will be charged quarterly. However, the bank reserves the right at any time to change the frequency of the charging periods. The relevant fees will be adjusted as of the start of the following month.

Securities transactions

Shares, bonds, ETFs, funds, and similar securities – brokerage fees⁶

Gross value per transaction in CHF 1,000 (or equivalent in foreign currency) ⁷	Bonds, notes and similar securities, Credit Suisse branded ²³ money market and bond funds	Shares and ETFs traded in Switzerland ⁹ , Credit Suisse branded ²³ equity funds ¹⁰ , non Credit Suisse branded money market and bond funds	Shares and ETFs traded on other markets ¹¹ , structured products ¹² , non Credit Suisse branded equity funds ¹⁰
	in %	in %	in %
Up to 100	0.85	1.15	1.70
Up to 250	0.75	1.00	1.50
Up to 500	0.50	0.85	1.25
Up to 1,000	0.40	0.65	1.00
Over 1,000	0.30	0.35	0.75
Minimum fee ¹³	CHF 75	CHF 95	CHF 135

Reduction for trading via Credit Suisse Direct¹⁴ max. CHF 40

Discount for fund switches within Credit Suisse Wealth Management funds 50%

Structured products and securitized derivatives on Credit Suisse recommendation list – subscription fee for primary market transactions⁶

Fee	Up to 2.00% ¹⁵
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Allocation/exercise of options⁶

Exercise of covered warrants	0.5%; at least CHF 100, max. CHF 2,000
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6 Further information on external fees, financial instrument costs and charges for foreign exchange transactions can be found in the cost addendum at credit-suisse.com/finsa or be obtained from your Relationship Manager.

7 The following applies to bonds, notes (and similar securities), to shares and ETFs (and similar securities), and to structured products: For market-driven partial executions that are executed within seven days, the commission will be calculated on the basis of the aggregate market value. For partial executions that are executed after more than seven days, the seven-day deadline begins afresh. This can lead to higher commissions.

8 E.g., medium-term notes. Please note money market paper/claims have a separate price list. Please contact your Relationship Manager for further information.

9 As well as similar securities (e.g. Credit Suisse certificates, rights traded in Switzerland, warrants traded in Switzerland).

10 Including portfolio funds, commodity funds, real estate funds, etc.

11 As well as similar securities (e.g. rights traded on other markets, warrants traded on other markets).

12 The fees only apply to structured products and securitized derivatives traded on the secondary market, including tailor-made products, and for primary market transactions for structured products and securitized derivatives not on the Credit Suisse recommendation list.

13 Sales = maximum 25% of gross value; purchase and sale of rights = max. 10% of gross value.

14 Cannot be combined with fund switch discount.

15 Please see marketing documents for further information on the effective rate or ask your Relationship Manager. Conditions also apply to tailor-made products.

Receipt and delivery of securities

Receipt of securities¹⁶

Receive free of payment	Free of charge
Receive versus payment	CHF 200 ¹⁷
Receipt of precious metals	Min. CHF 325 ¹⁸

Delivery^{16, 19}

Book delivery of clearable securities

Transfer to banks of UBS Group	Free of charge
Delivery free of payment ²⁰	
▪ Non-traditional investment products	CHF 155
▪ Funds	CHF 85
▪ Other securities	CHF 50
Deliver versus payment	CHF 200 ¹⁷
Change of custodian bank	CHF 120

Physical delivery of securities²¹

Where delivered from custody of third party (clearing house or depository)

Securities (clearing, external safekeeping accts), including certificate recall request	CHF 500
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Where delivered from a vault

Non-clearable securities (also unpledged policies and mortgage notes)	CHF 250
Clearable/depositable securities (in event of vault custody following express client wish)	CHF 500
Securities of Credit Suisse (including printing)	CHF 250 ²²
▪ Own medium-term notes	
▪ Issue of physical certificates on CSAM funds	
Delivery of precious metals	Min. CHF 325 ¹⁸
Other deposits (envelopes)	CHF 50
Pledged policies and mortgage notes	Free of charge

Where delivered at bank counter

Securities, other deposits (envelopes)	CHF 500
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Restricted shares (USA and Canada)¹⁶

Re-registration of restricted shares with change of beneficial ownership	CHF 500
Lifting of restriction	CHF 500

Re-registration of registered shares¹⁶

Delivery to a safekeeping account	Free of charge
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Book entry/physical custody

With one-time application for entry in the share register	CHF 50
Without one-time application for entry in the share register	CHF 50

¹⁶ Plus value-added tax (VAT), at the applicable normal rate, for clients resident in Switzerland and the Principality of Liechtenstein.

¹⁷ No value-added tax added.

¹⁸ Dependent on weight and volume.

¹⁹ External charges are typically included in the prices given with the exception of taxes and any applicable re-registration fees in selected markets, e.g. Spain and Greece. Delivery charges are also due if the delivery is the result of a corporate action.

²⁰ Private clients, who liquidate their safekeeping account, pay third-party charges only.

²¹ For express delivery, additional charges may apply (e.g. external courier charges).

²² Exception: funds that operate on a deferred certificate basis where CS is the custodian bank and where physical delivery of the certificates is provided for in the fund regulations.

²³ Does not apply to UBS branded funds.

For reasons of clarity, only the most important fees are given here. All fees are correct at the time of publication, but are subject to change at any time. Your Relationship Manager will be pleased to supply current fees as well as details of services and fees not listed here.

